

***Diffraction at the LHC:  
From the shadows to light  
- Basic Concepts -***

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***Pelotas  
16 Sep 2016***



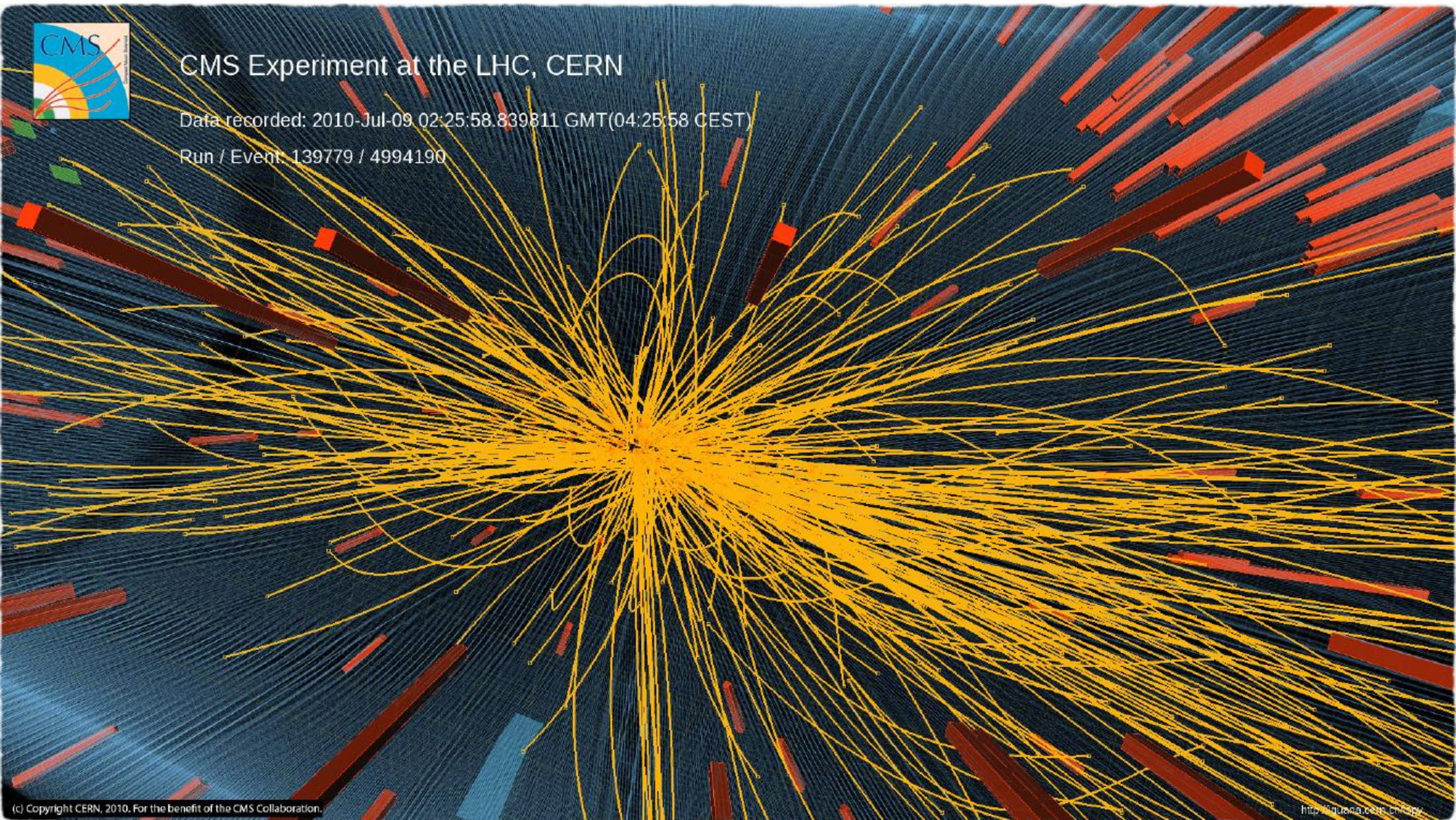
# What happens when hadrons collide?



CMS Experiment at the LHC, CERN

Data recorded: 2010-Jul-09 02:25:58.839811 GMT(04:25:58 CEST)

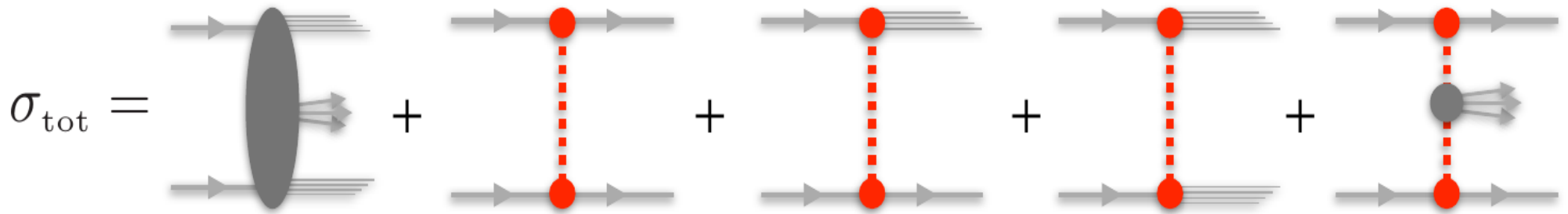
Run / Event: 139779 / 4994190





# Proton - Proton Collisions

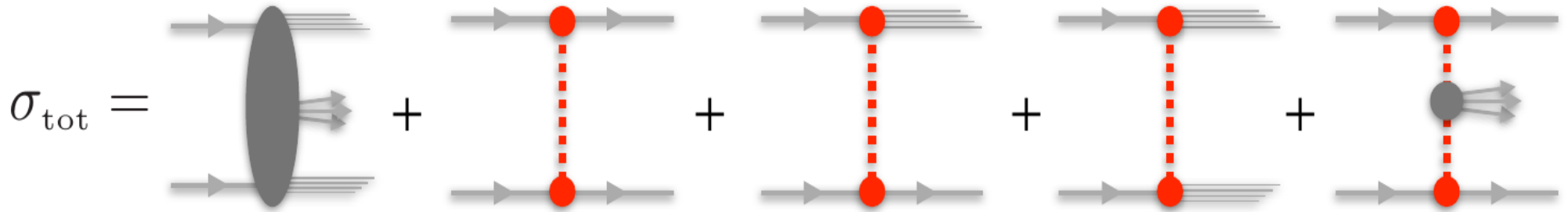
$$\sigma_{\text{tot}} = \sigma_{\text{ND}} + \sigma_{\text{elastic}} + \sigma_{\text{SD}} + \sigma_{\text{DD}} + \sigma_{\text{CD}}$$



# Proton - Proton Collisions

Non - Diffractive

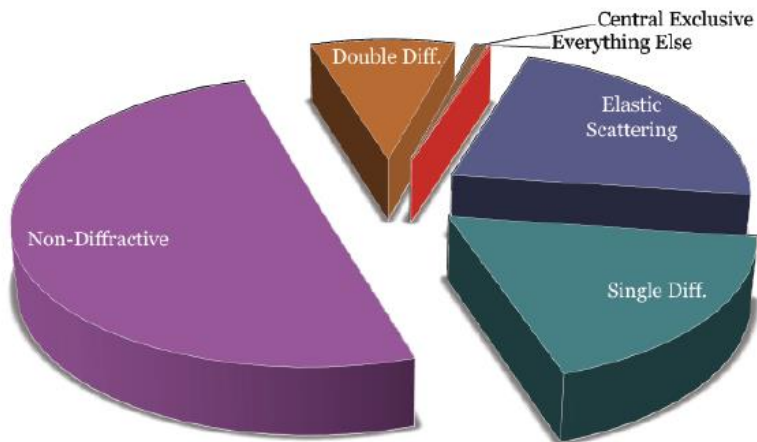
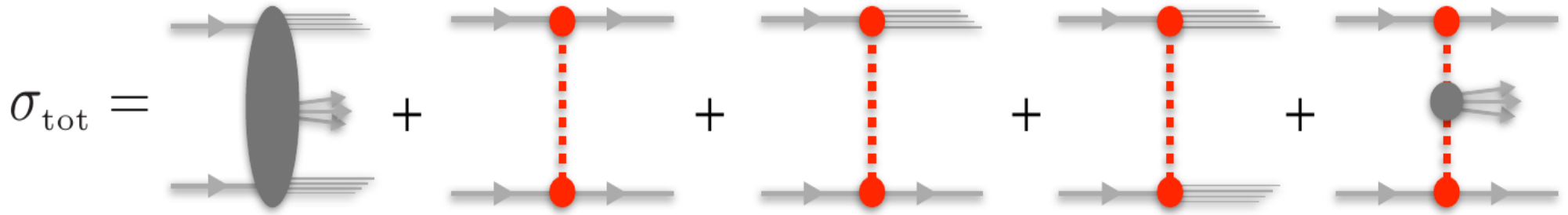
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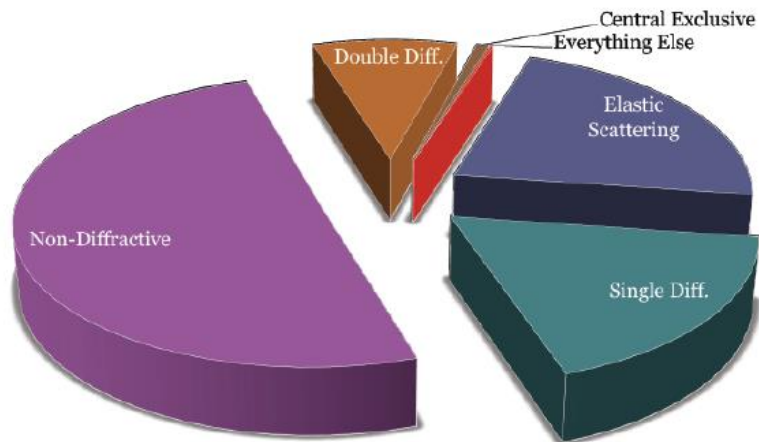
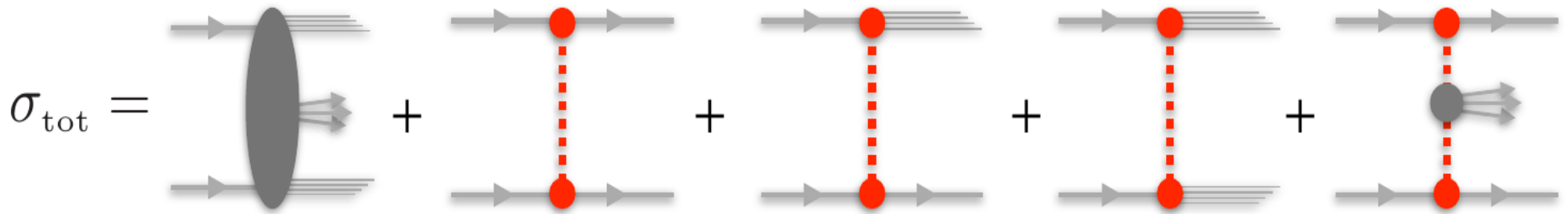
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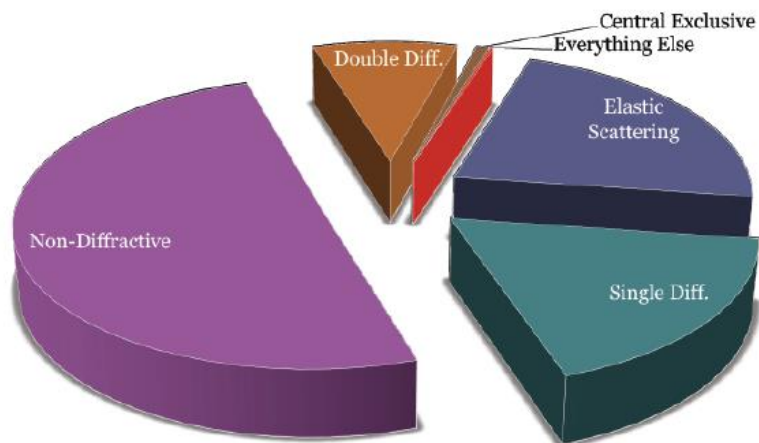
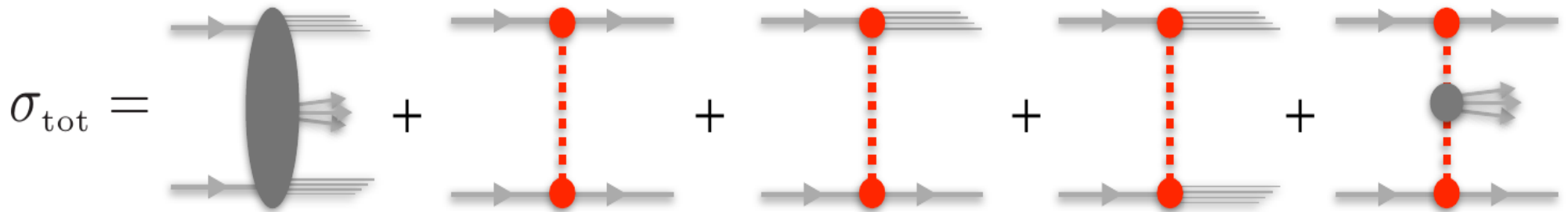
Diffractive

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# Proton - Proton Collisions

$$\sigma_{\text{tot}} = \sigma_{\text{ND}} + \sigma_{\text{elastic}} + \sigma_{\text{SD}} + \sigma_{\text{DD}} + \sigma_{\text{CD}}$$



**LHC** is:

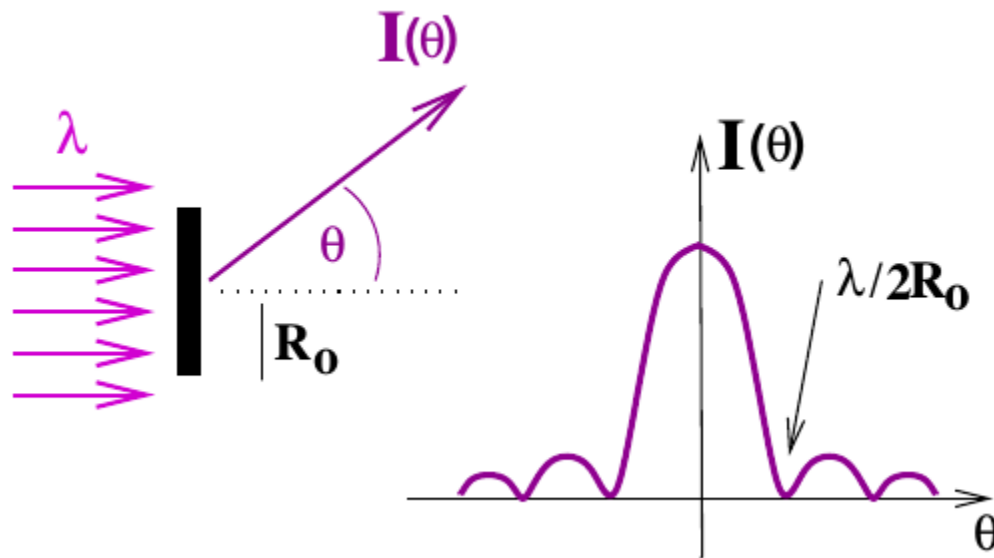
- Discovery Machine
- QCD machine (QCD is always present!)

**Diffraction** is:

- Vital aspect of QCD
- Place to look for New Physics

# Diffraction in Optics

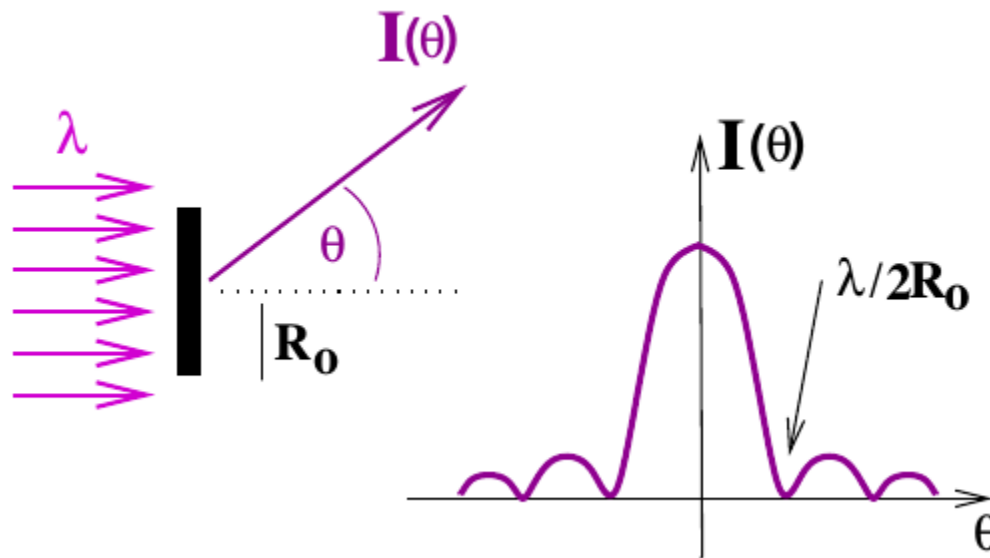
- Diffraction of light of wavelength  $\lambda$  from a circular target of size  $R_0$





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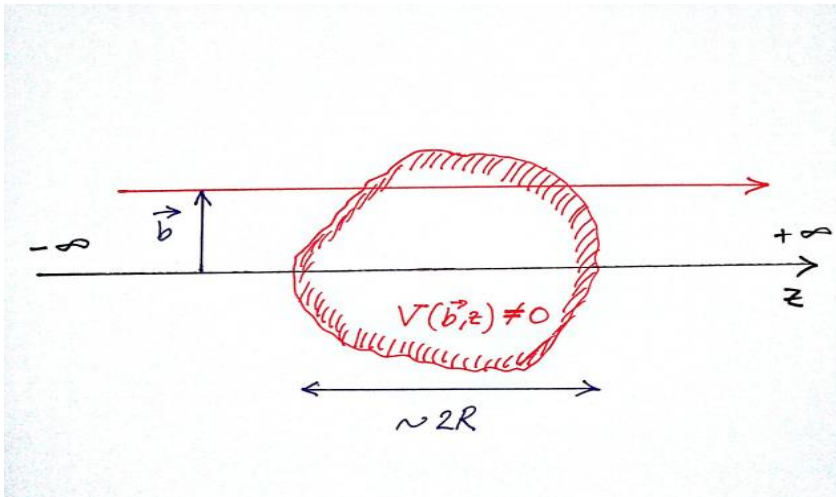
$$\frac{I(\theta)}{I(\theta = 0)} = \frac{[2J_1(x)]^2}{x^2} \simeq 1 - \frac{R_0^2}{4}(k\theta)^2$$

$$x = kR_0 \sin \theta \simeq kR_0 \theta$$

- The diffraction pattern is related to the size of the target and to the wavelength of the light beam.

# Diffraction in Particle Physics

□ Scattering theory in the short wavelength limit (high energies): Eikonal approximation



■ Eikonal phase:

$$\delta(\mathbf{b}) = -\frac{1}{2v} \int_{-\infty}^{\infty} dz V(\mathbf{b}, z), \quad v = k/m$$

■ scattered wave  $\psi(\mathbf{b}, +\infty) = S(\mathbf{b})\psi(\mathbf{b}, -\infty)$ ,  
 $S(\mathbf{b}) = \exp(2i\delta(\mathbf{b}))$

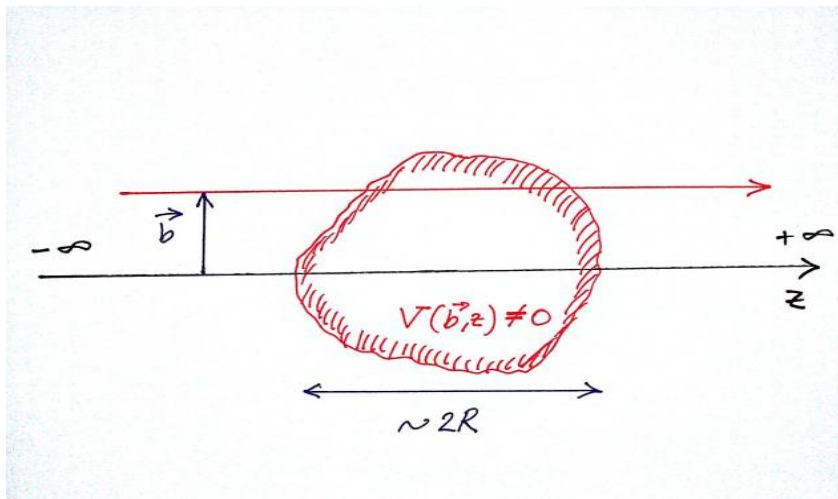
■ profile function:  $\Gamma(\mathbf{b}) \equiv 1 - S(\mathbf{b})$ .

■ scattering amplitude:

$$f(\mathbf{q}) = \frac{ik}{2\pi} \int d^2\mathbf{b} \exp[-i\mathbf{q}\mathbf{b}] \Gamma(\mathbf{b})$$

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✓ Elastic cross section:

$$\frac{d\sigma_{\text{el}}}{d\Omega} = |f(\mathbf{q})|^2 = \frac{k^2}{4\pi^2} \int d^2\mathbf{b} d^2\mathbf{b}' \exp[-i\mathbf{q}(\mathbf{b} - \mathbf{b}')] \Gamma(\mathbf{b}) \Gamma^*(\mathbf{b}')$$

✓ Integrated cross sections:

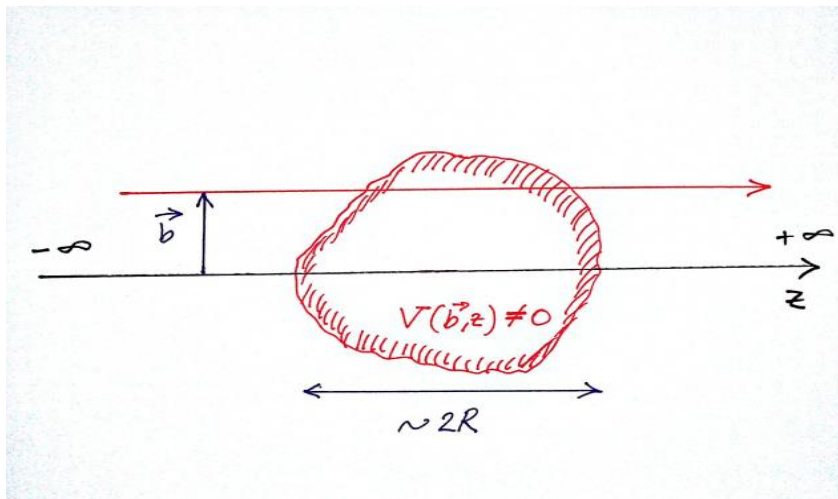
$$\sigma_{\text{tot}} = \frac{4\pi}{k} \Im m f(0) = 2 \int d^2\mathbf{b} \Re e \Gamma(\mathbf{b})$$

$$\sigma_{\text{el}} = \int d^2\mathbf{b} |\Gamma(\mathbf{b})|^2$$

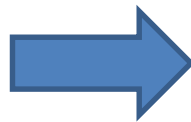
$$\sigma_{\text{inel}} = \int d^2\mathbf{b} \left( 2\Re e \Gamma(\mathbf{b}) - |\Gamma(\mathbf{b})|^2 \right)$$

# Diffraction in Particle Physics

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✓ **Black disc:**  
No scattering outside  
a disc of radius  $R$  and  
strong absorption for  
 $|\mathbf{b}| < R$



$\delta(\mathbf{b}) = 0$  for  $|\mathbf{b}| > R$ ,  $\Im m \delta(\mathbf{b}) \gg 1$  for  $|\mathbf{b}| < R$ .

$S(\mathbf{b}) = \theta(|\mathbf{b}| - R) \Rightarrow \Gamma(\mathbf{b}) = \theta(R - |\mathbf{b}|)$ .

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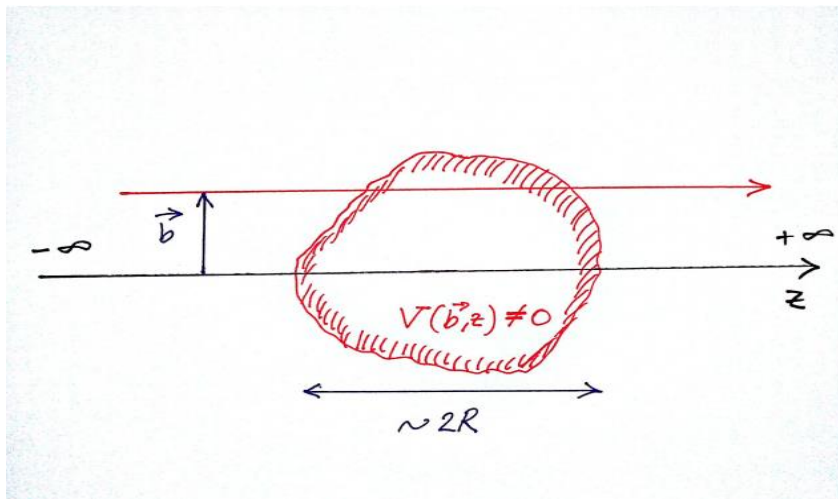
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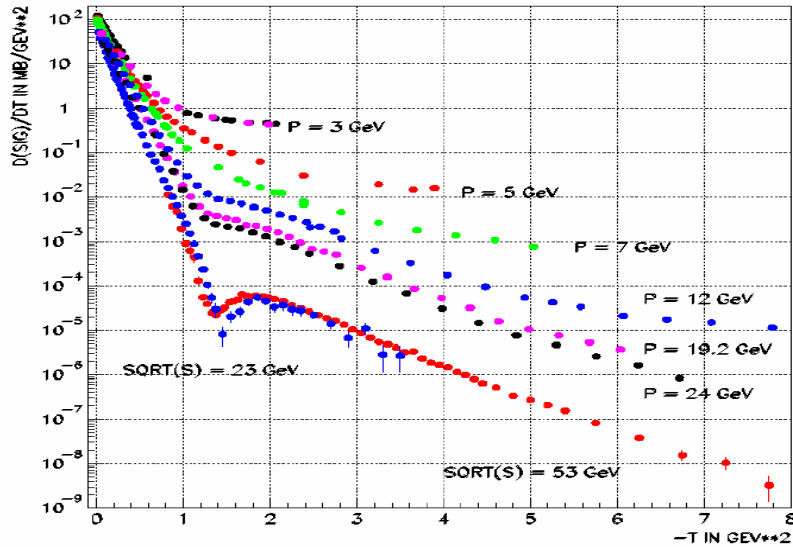
$$f(\mathbf{q}) = ikR^2 \frac{J_1(qR)}{qR}$$

$$\sigma_{\text{tot}} = 2 \int d^2\mathbf{b} \Re e \Gamma(\mathbf{b}) = 2\pi R^2$$

$$\sigma_{\text{el}} = \int d^2\mathbf{b} |\Gamma(\mathbf{b})|^2 = \pi R^2 = \frac{1}{2} \sigma_{\text{tot}}$$

$$\sigma_{\text{inel}} = \int d^2\mathbf{b} \left( 2\Re e \Gamma(\mathbf{b}) - |\Gamma(\mathbf{b})|^2 \right) = \pi R^2$$

# Diffraction in Particle Physics



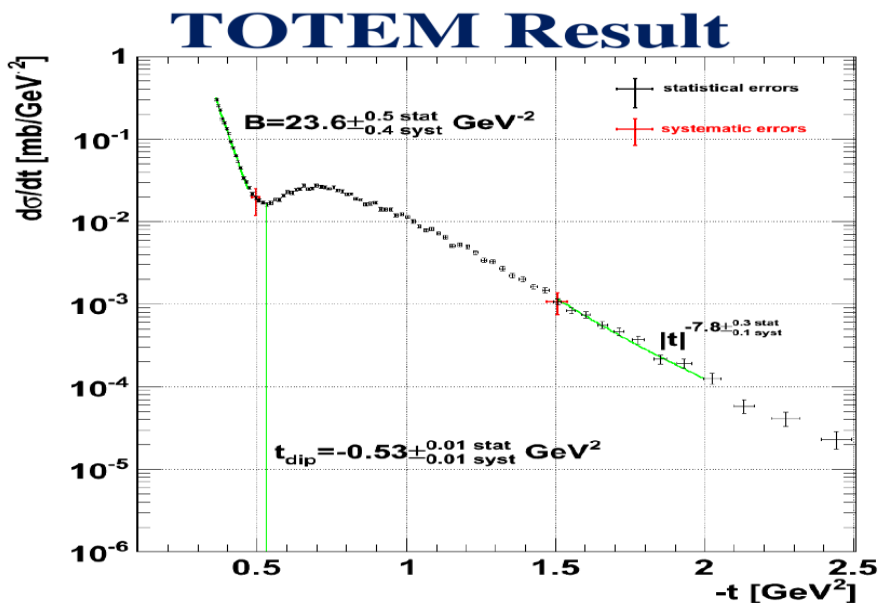
✓  $|t| \approx (P \theta)^2$  is the absolute value of the squared four-momentum transfer.  $P$  is the incident proton momentum and  $\theta$  is the scattering angle.

✓ One have that:

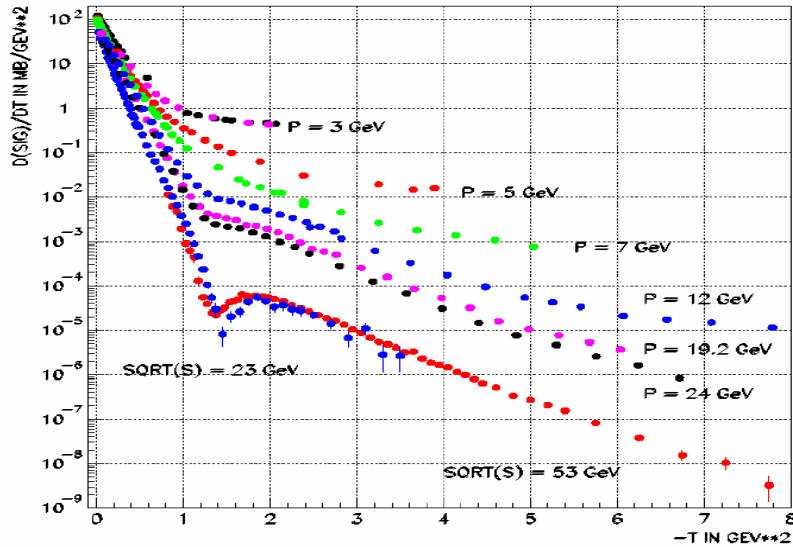
$$\frac{\frac{d\sigma}{dt}(t)}{\frac{d\sigma}{dt}(t=0)} \simeq e^{-b|t|} \simeq 1 - b(P\theta)^2$$

The  $t$ -slope can be written as  $b = R^2/4$ , where once again  $R$  is related to the target size.

✓ A dip followed by a secondary maximum has also been observed, with the value of  $|t|$  in which the dip appears decreasing with increasing proton momentum.



# Diffraction in Particle Physics



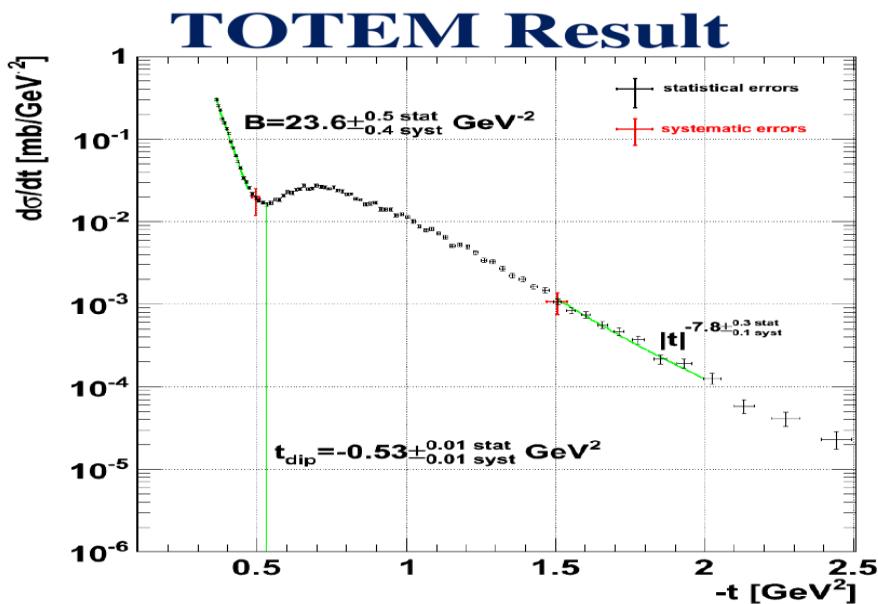
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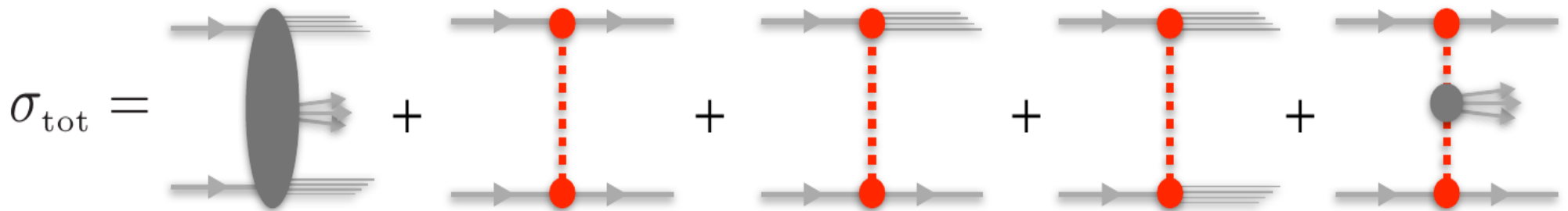
The  $t$ -slope can be written as  $b = R^2/4$ , where once again  $R$  is related to the target size.

✓ Similar  $t$  distributions has been observed for the other diffractive reactions mentioned before, leading to the use of the term **diffraction** for all such processes.



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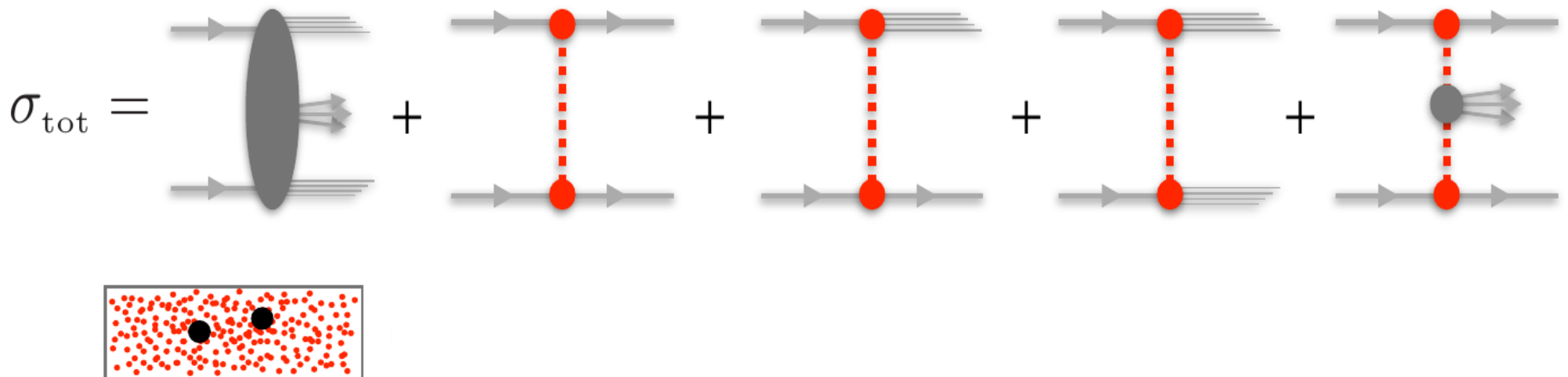
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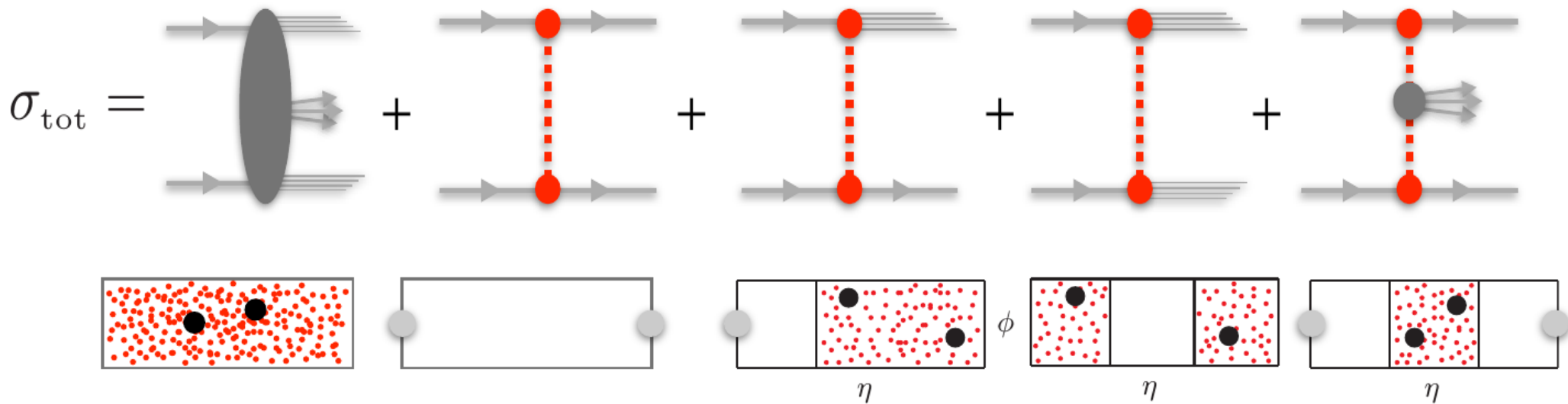
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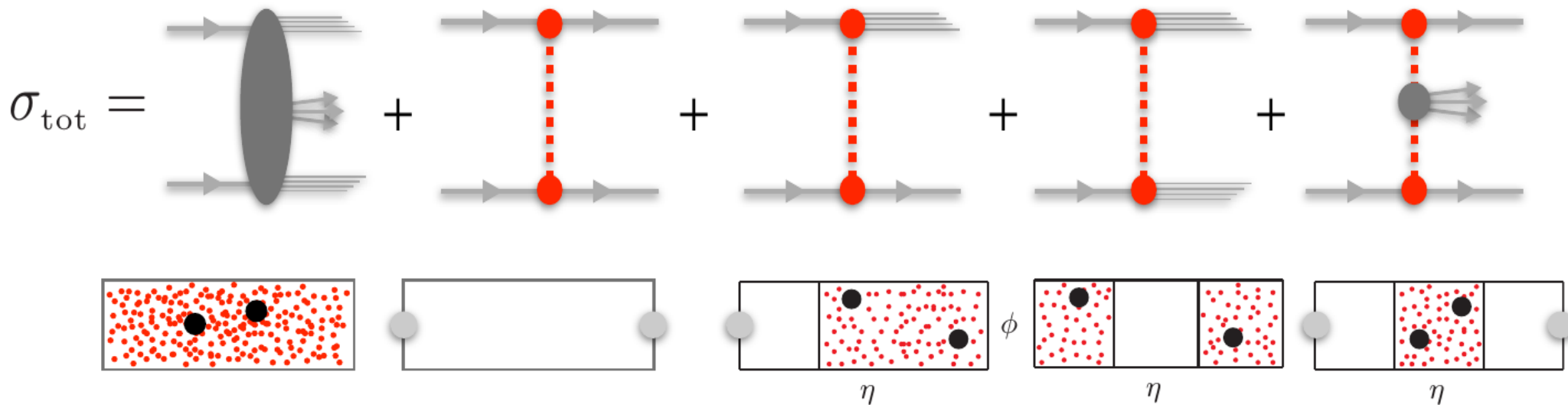
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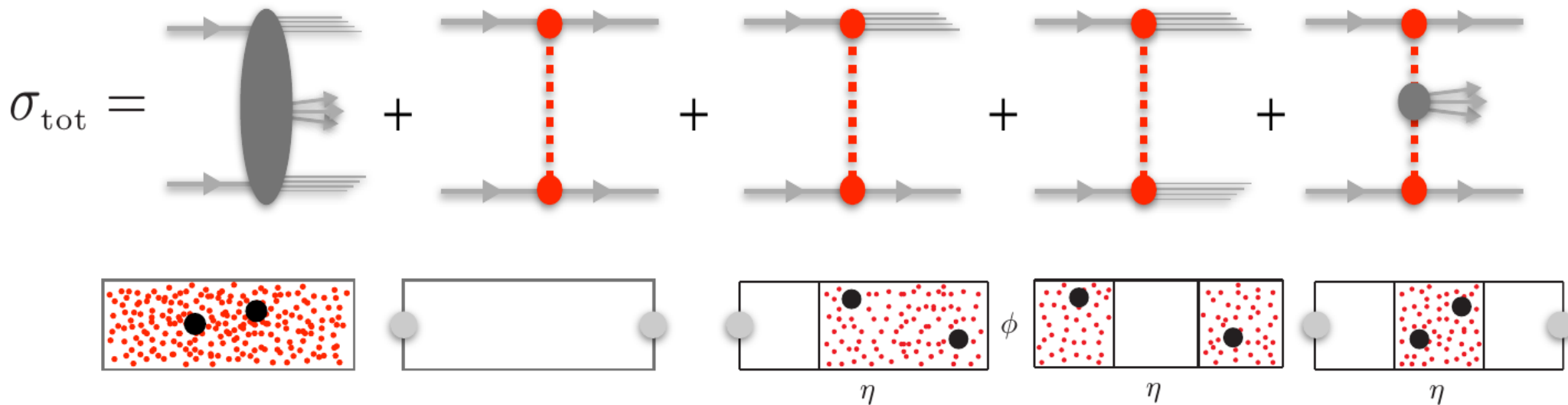
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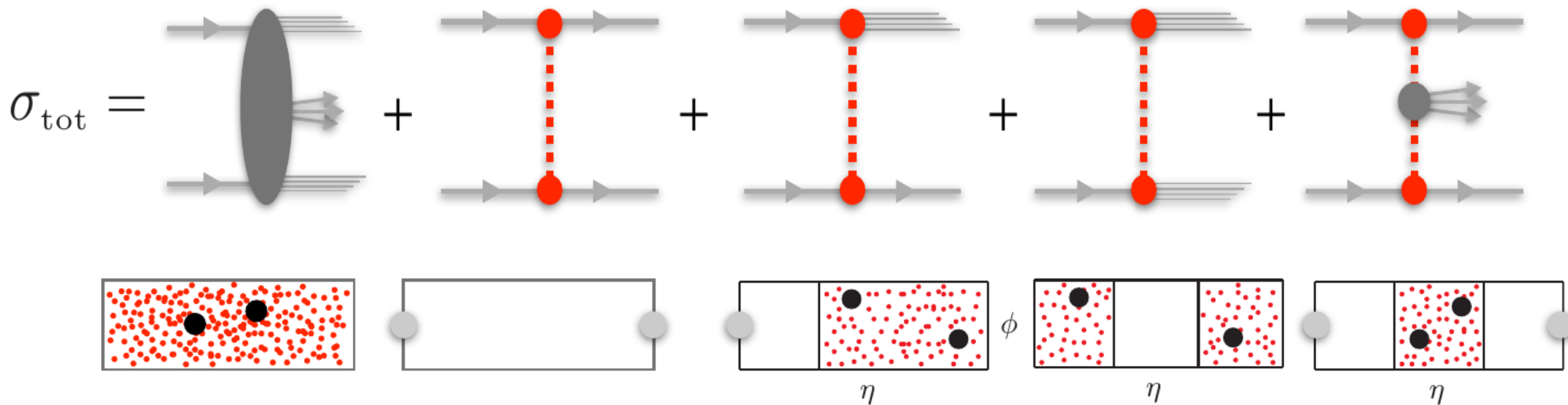
## Caveats:

- The rapidity gap(s) can be very forward and outside of the detector acceptance;



# Diffraction in Particle Physics

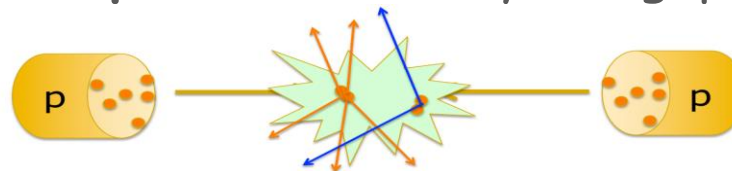
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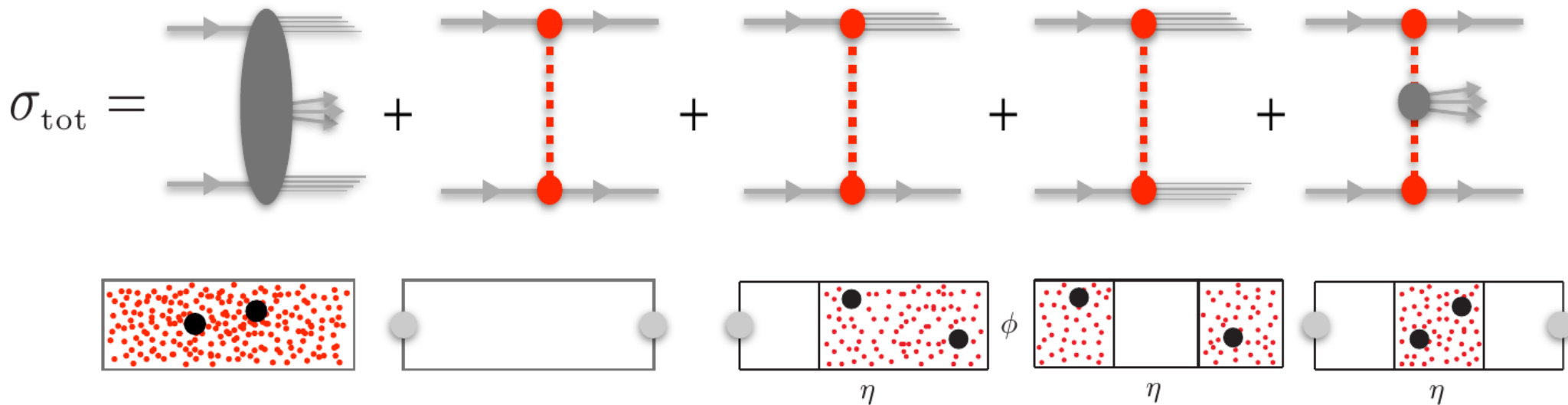
**Caveats:**

- Pileup events destroy the gap(s).



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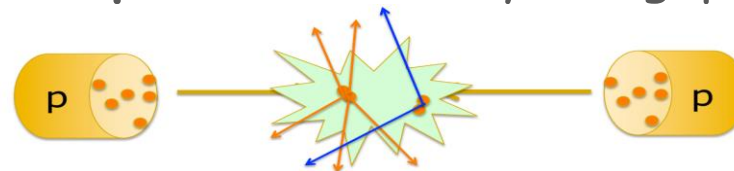
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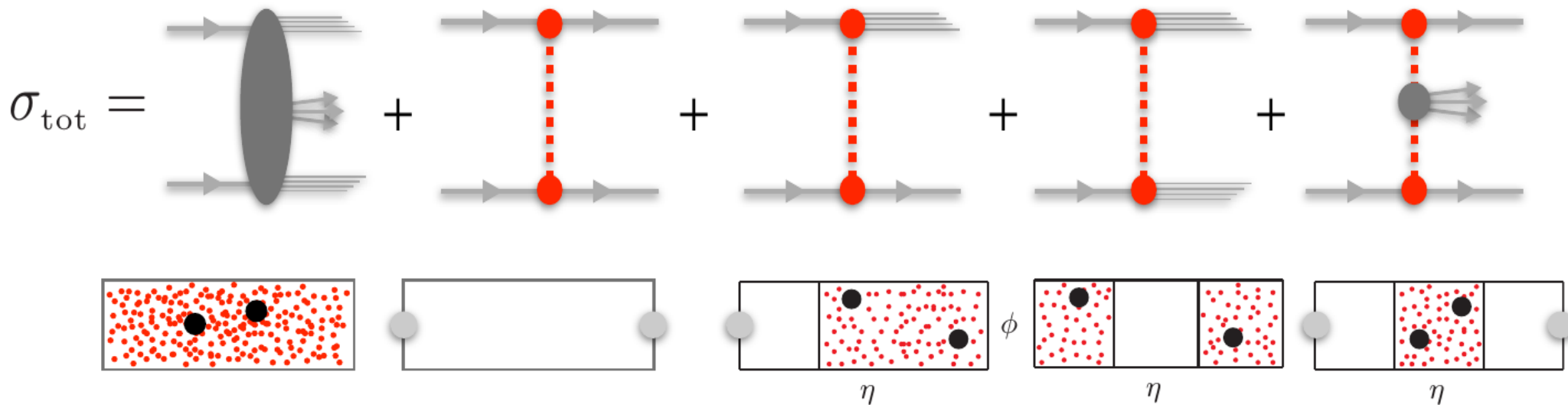
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**CMS/ATLAS (2012):**  
 $\langle \mu \rangle \approx 30$

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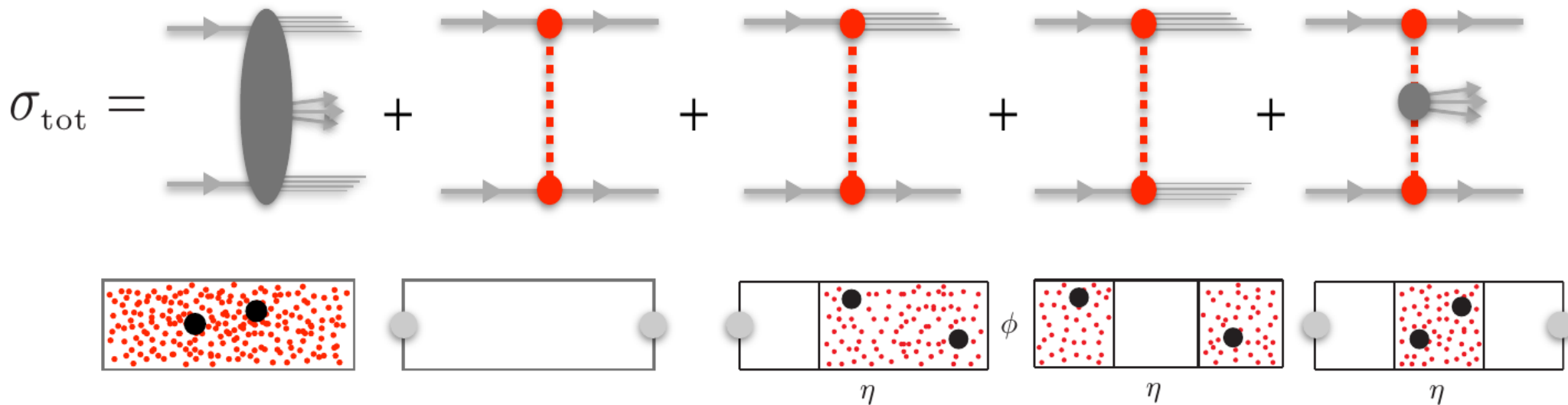


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**Caveats:**  LRG not always usable!

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**Alternative :**


Proton tagging (and timing) detectors  
e.g. CMS/Totem - PPS; ATLAS - AFP



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    - ✓ The exchanged object should be in a Colour Singlet State (Vacuum quantum numbers).
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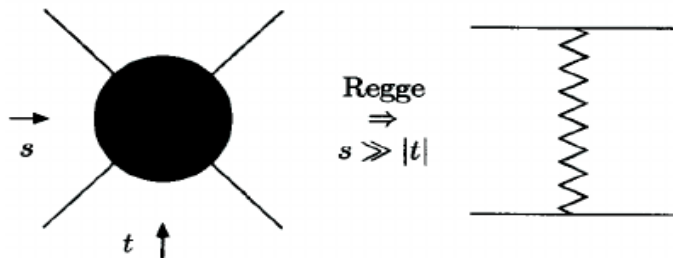
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## What is the Pomeron (IP) ?

Regge Theory:



➤ IP is a Regge trajectory:

$$\alpha_{IP}(t) = \alpha_{IP}(0) + \alpha' \cdot t$$

➤  $\sigma_{tot} \approx s^{\alpha_{IP}(0)}$

➤ Donnachie - Landshoff fit (92):

$$\alpha_{IP}(0) = 1.08 \quad \text{and} \quad \alpha' = 0.25 \text{ GeV}^{-2}$$

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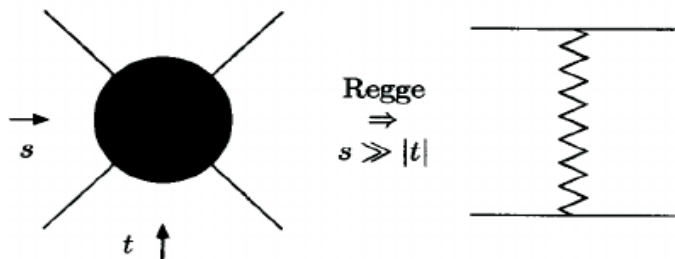
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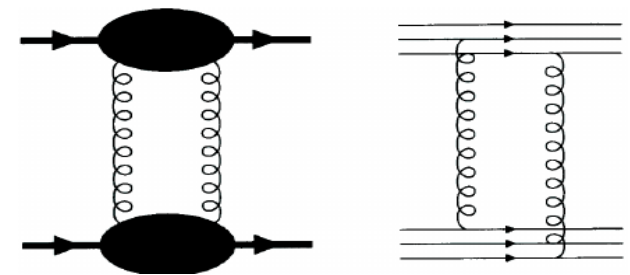
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### Perturbative QCD:

- Lowest order: Two - gluon exchange (Low - Nussinov)



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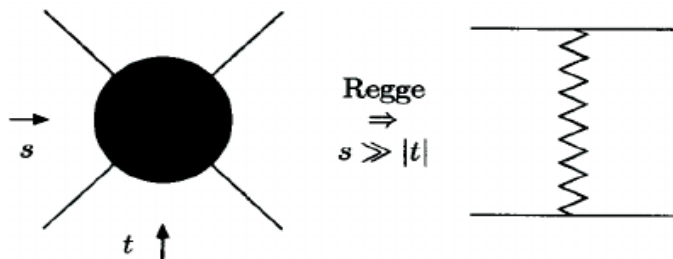
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### Perturbative QCD:

- In the leading  $\log(1/x)$  approximation:



- **F** satisfies the **Balitsky - Fadin - Kuraev - Lipatov (BFKL)** equation
- At leading order:  $\alpha_{IP}(0) = 1.5$



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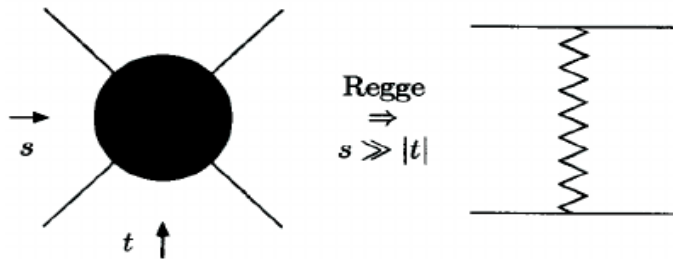
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### Regge Theory: (Soft Pomeron)



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### Perturbative QCD: (Hard Pomeron)

- In the leading  $\log(1/x)$  approximation:



- F satisfies the **Balitsky - Fadin - Kuraev - Lipatov (BFKL)** equation
- At leading order:  $\alpha_{IP}(0) = 1.5$

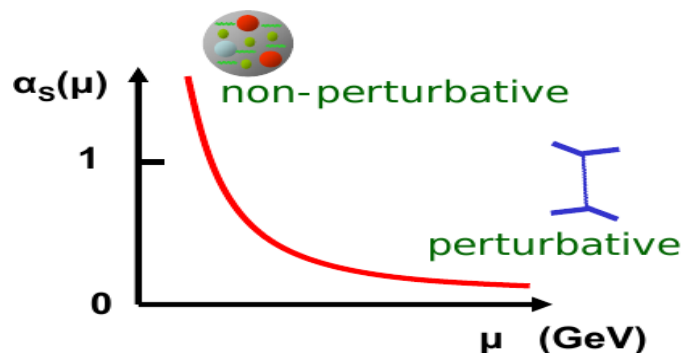
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### Perturbative QCD:

- **Caveat:** pQCD is inadequate to describe (soft) processes where the energy scale is of the order of the hadron size ( $\approx 1$  fm)



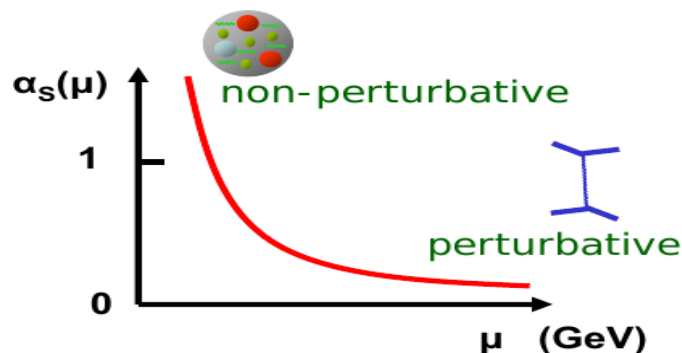
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- Diffractive processes mostly belongs to soft processes (Ex. Total SD/DD cross sections) - **Soft Diffraction**
- However, if a hard scale is present, perturbative methods can be applied to describe the diffractive process (Ex. Dijet, Heavy quark, W, Z production) - **Hard diffraction**

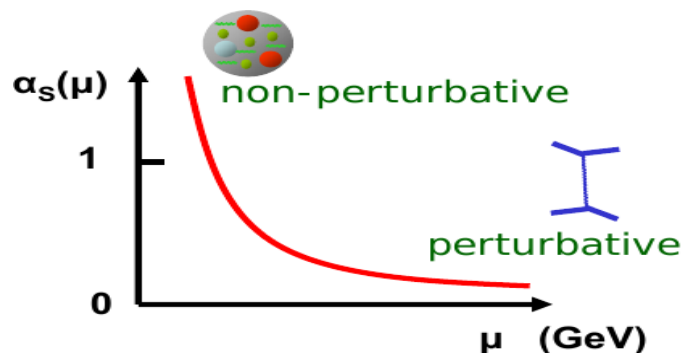
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## What is the Pomeron (IP) ?

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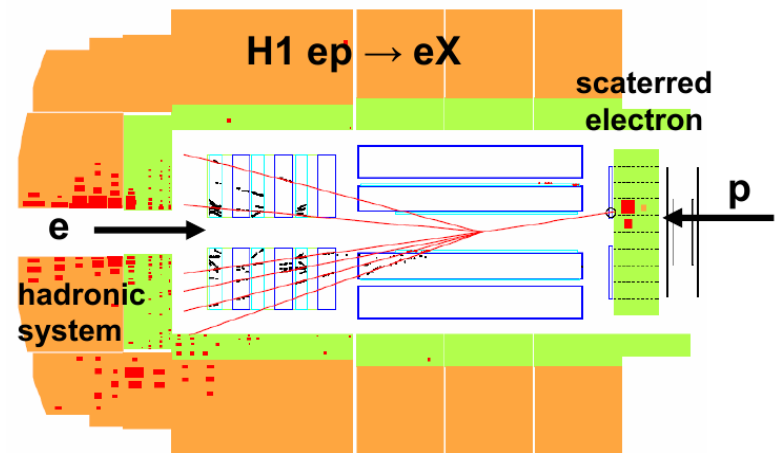
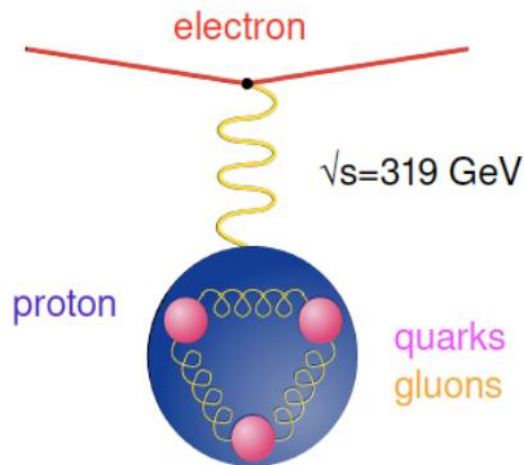
- **Caveat:** pQCD is inadequate to describe (soft) processes where the energy scale is of the order of the hadron size ( $\approx 1$  fm)



- Diffractive processes mostly belongs to soft processes (Ex. Total SD/DD cross sections) - **Soft Diffraction**
- However, if a hard scale is present, perturbative methods can be applied to describe the diffractive process (Ex. Dijet, Heavy quark, W, Z production) - **Hard diffraction**
- Diffraction allows to bridge the gap between the hard and soft regimes of Strong interactions.

# Diffraction in electron - proton collisions

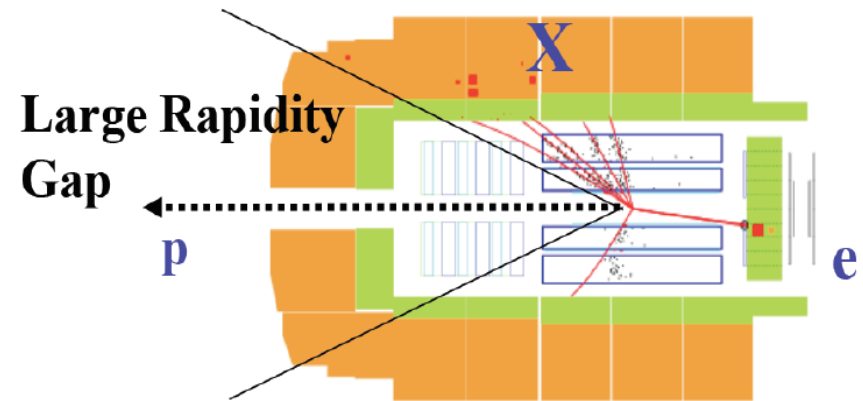
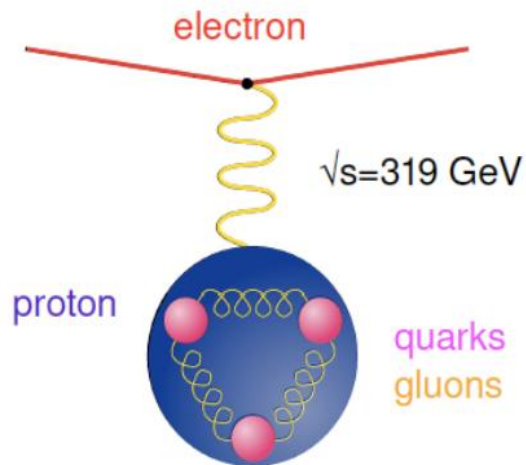
- Deep-inelastic electron-proton scattering (DIS) at DESY - HERA:



- ✓ Main goal of HERA was the investigation of the structure of the proton;

# Diffraction in electron - proton collisions

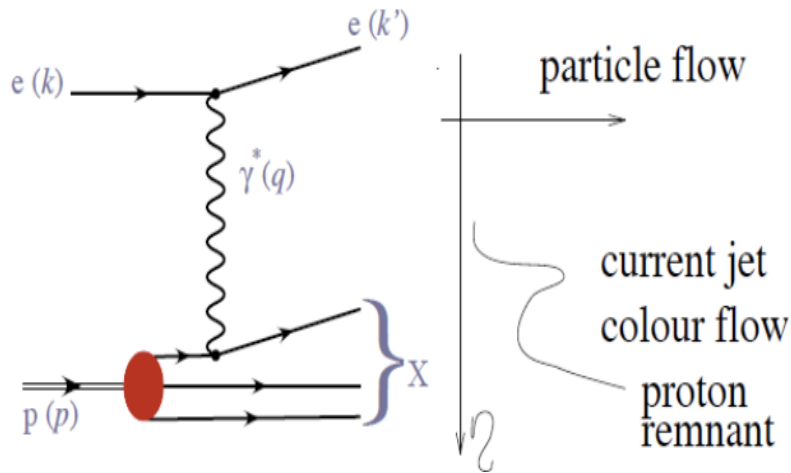
- Deep-inelastic electron-proton scattering (DIS) at DESY - HERA:



- ✓ Main goal of HERA was the investigation of the structure of the proton;
- ✓ Unexpectedly, in 1993 HERA saw that in 10 % of the DIS events there was a large gap where there were NO particle produced between the struck quark and the proton: Diffractive deep inelastic scattering (DDIS).



# DDIS - Definitions



## Deep Inelastic Scattering $ep \rightarrow eX$

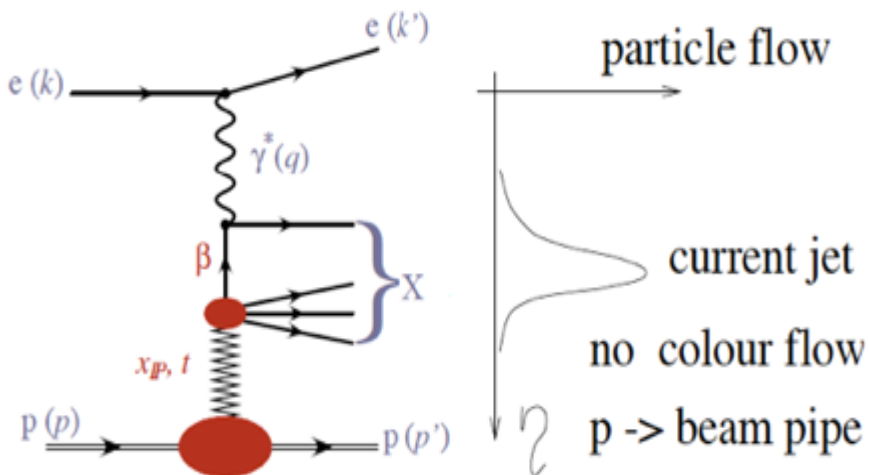
$Q^2 = -q^2$  - virtuality of the exchanged photon

$W$   $\gamma^*$  -  $p$  system energy

$x$  Bjorken- $x$ : fraction of proton's momentum carried by the struck quark

$y$   $\gamma^*$  inelasticity :  $y = Q^2/sx$

$$\frac{d^2\sigma}{dx dQ^2} = \frac{2\pi\alpha^2}{xQ^4} Y_+ F_2(x, Q^2) - \frac{y^2}{Y_+} F_L(x, Q^2) \quad Y_+ = 1 + (1-y)^2$$



## Diffractive Scattering $ep \rightarrow eXp$

$x_P$  fraction of proton's momentum of the colour singlet exchange

$$x_P \simeq \frac{Q^2 + M_X^2}{Q^2 + W^2} = \xi \text{ at Tevatron and LHC}$$

$\beta$  fraction of  $IP$  carried by the quark "seen" by the  $\gamma^*$   $\beta = x/x_P$

$t = (p - p')^2$ , 4-momentum squared at the  $p$  vertex

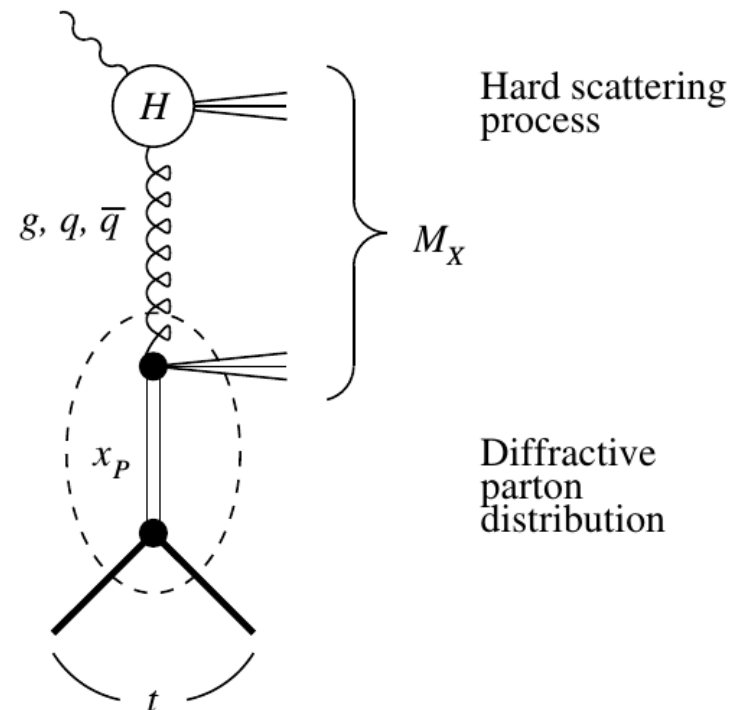
$$\frac{d^4\sigma^D}{d\beta dQ^2 dx_P dt} = \frac{2\pi\alpha^2}{\beta Q^4} Y_+ F_2^{D(4)}(\beta, Q^2, x_P, t) - \frac{y^2}{Y_+} F_L^{D(4)}$$

# Leading-twist collinear factorization in DDIS

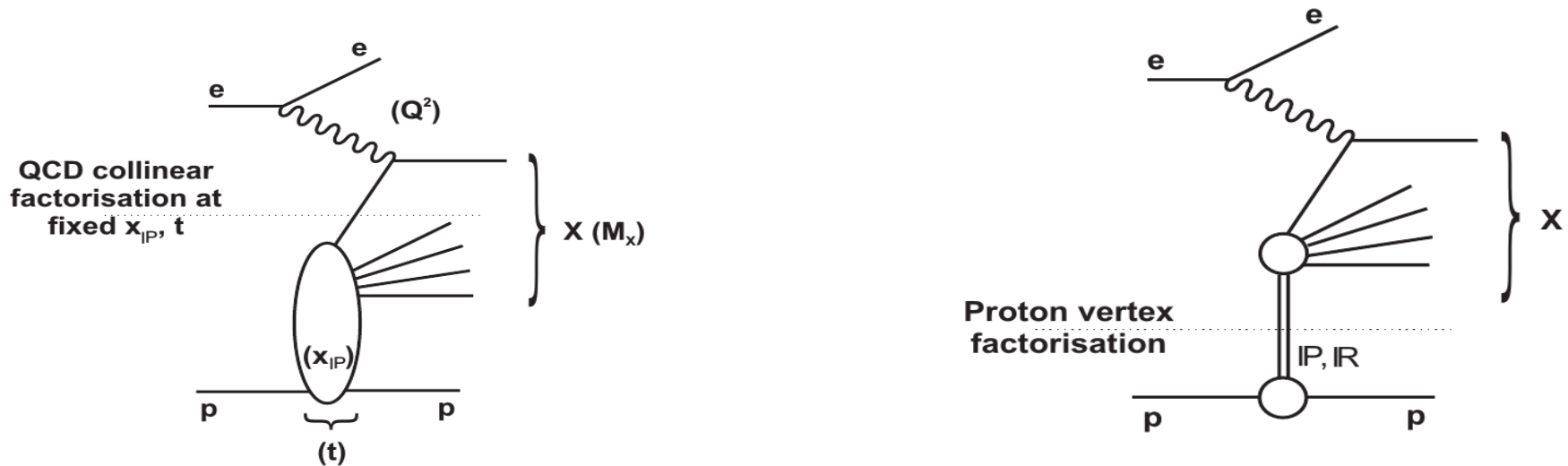
Diffraction structure function (integrated over  $t$ ):

$$\begin{aligned}
 F_2^{\text{D}(3)}(x_{\mathbb{P}}, \beta, Q^2) &= \sum_{a=q,g} \beta \int_{\beta}^1 \frac{dz}{z} C_{2,a} \left( \frac{\beta}{z} \right) f_{a/p}^{\text{D}}(x_{\mathbb{P}}, z, \mu_F^2) \\
 &= \sum_q e_q^2 \beta f_{q/p}^{\text{D}}(x_{\mathbb{P}}, \beta, \mu_F^2) \quad \text{at LO.}
 \end{aligned}$$

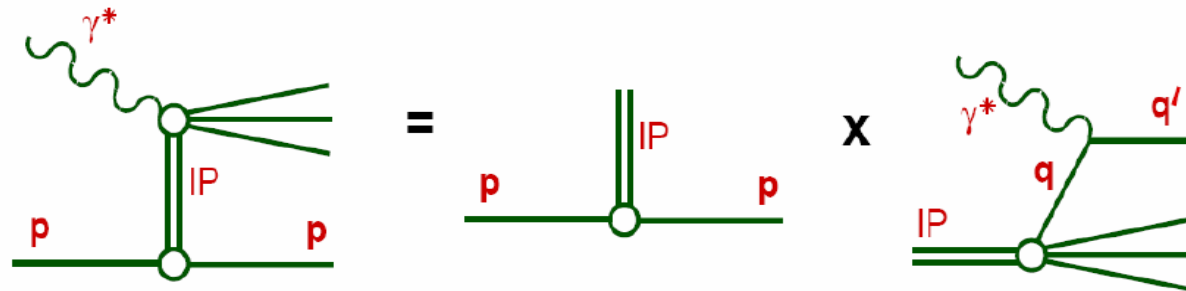
- $C_{2,a}$  are the same coefficient functions as in inclusive DIS;
- Diffractive PDFs  $f_{a/p}^{\text{D}}$  satisfy DGLAP evolution;
- Proven by J. Collins [hep-ph/9709499] to hold up to power-suppressed corrections.



# Proton vertex factorization



- Proton vertex factorization (Ingelman, Schlein - 1985) separate  $x_{IP}$  from the  $(\beta, Q^2)$  dependences:

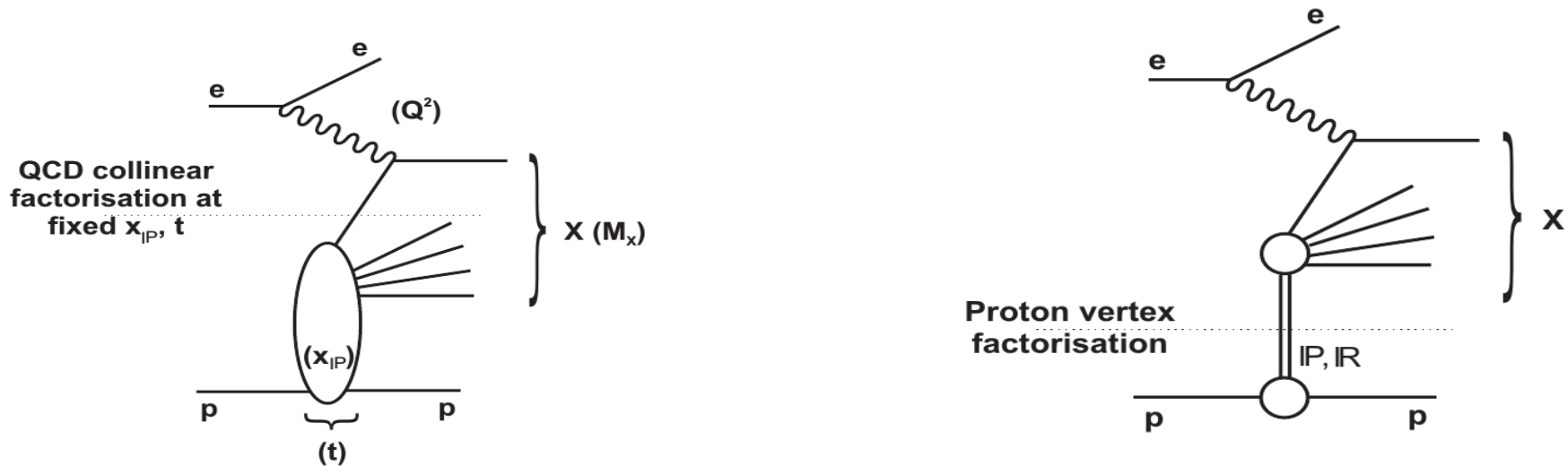


$$f_{a/p}^D(x_{IP}, \beta, Q^2) = f_{IP}(x_{IP}) \cdot f_{a/IP}(\beta, Q^2)$$

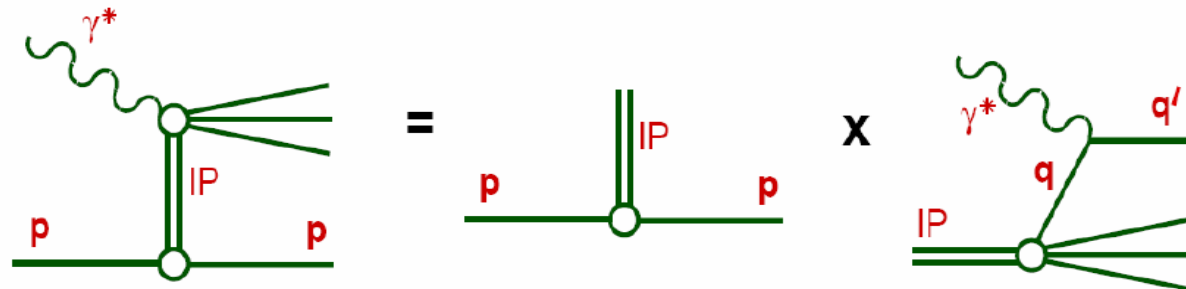
Pomeron flux

Probability for a hadron to radiate off a Pomeron

# Proton vertex factorization



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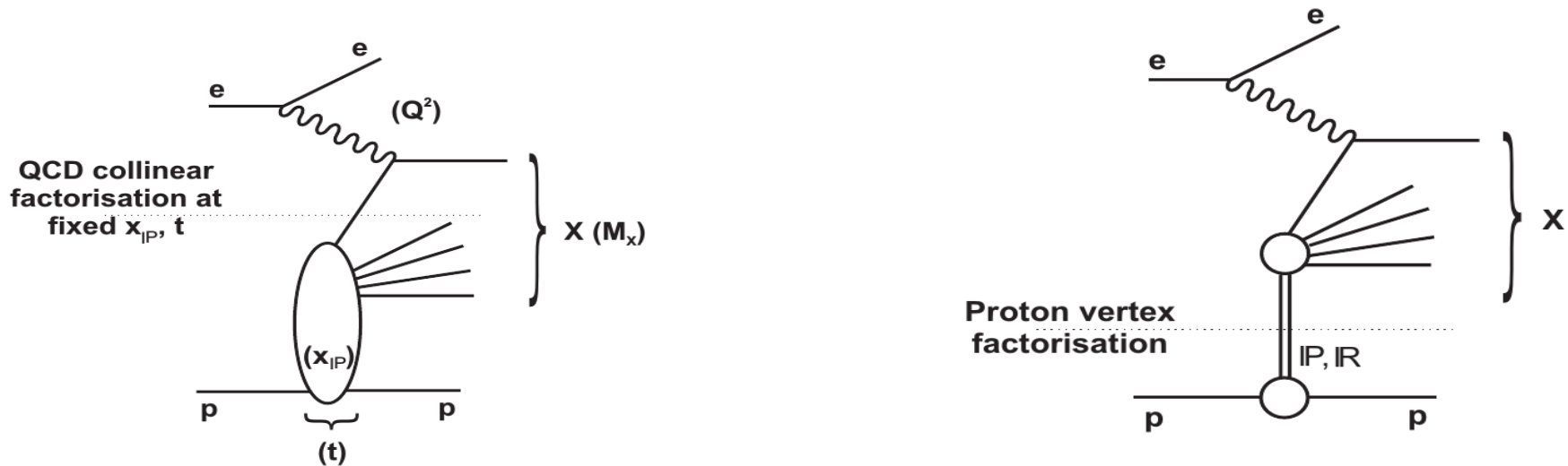


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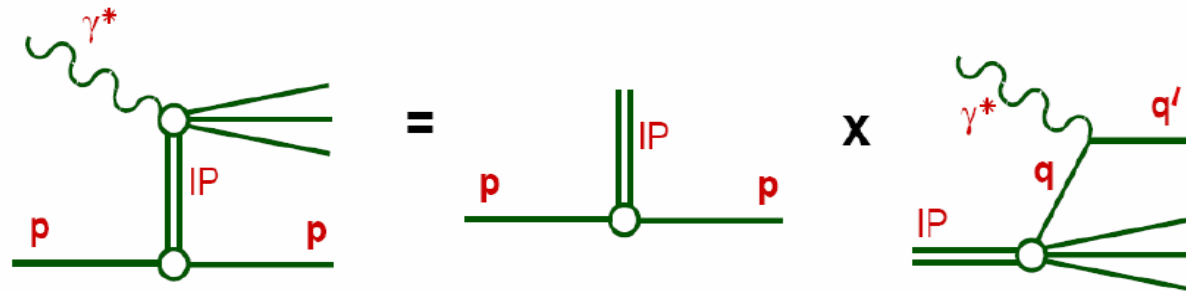
Pomeron  
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Pomeron  
PDFs

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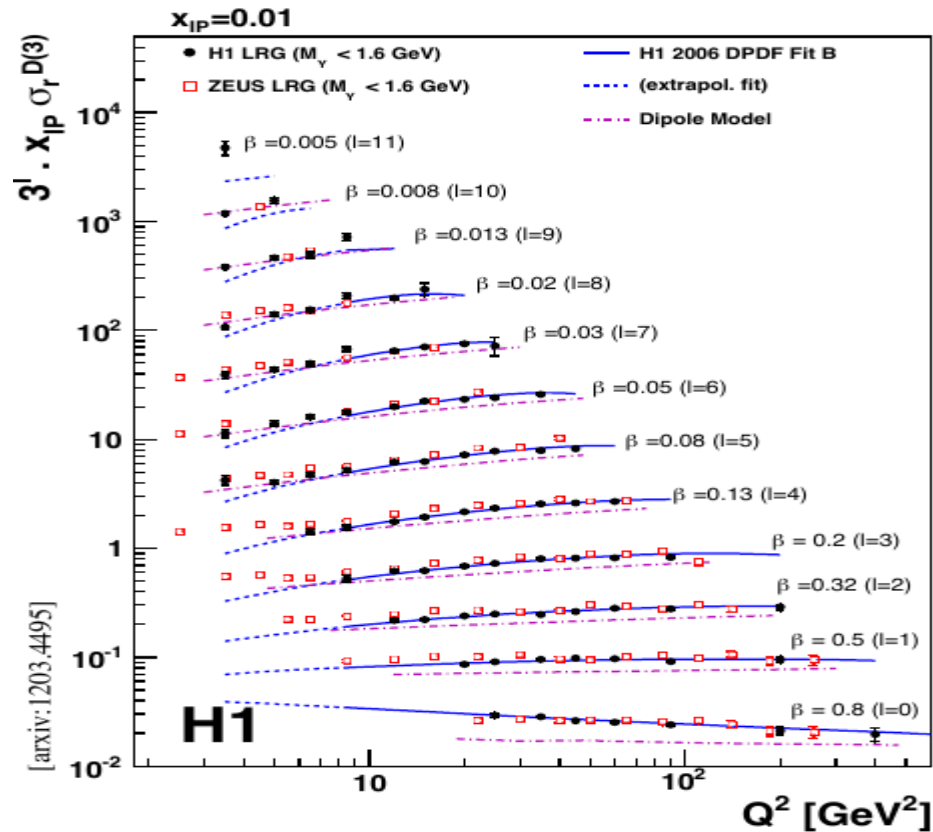
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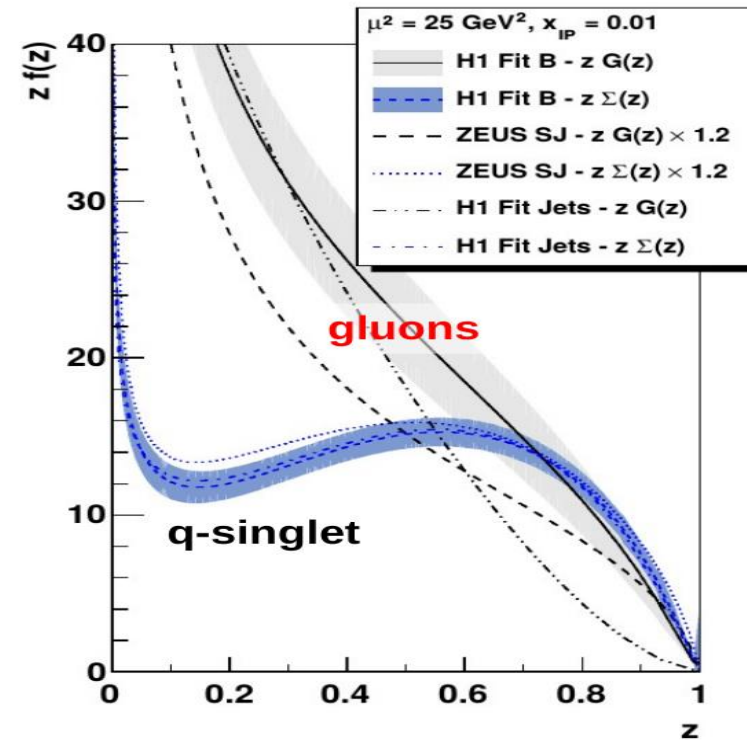
Pomeron  
PDFs

No QCD basis,  
consistent with data

# Pomeron PDFs



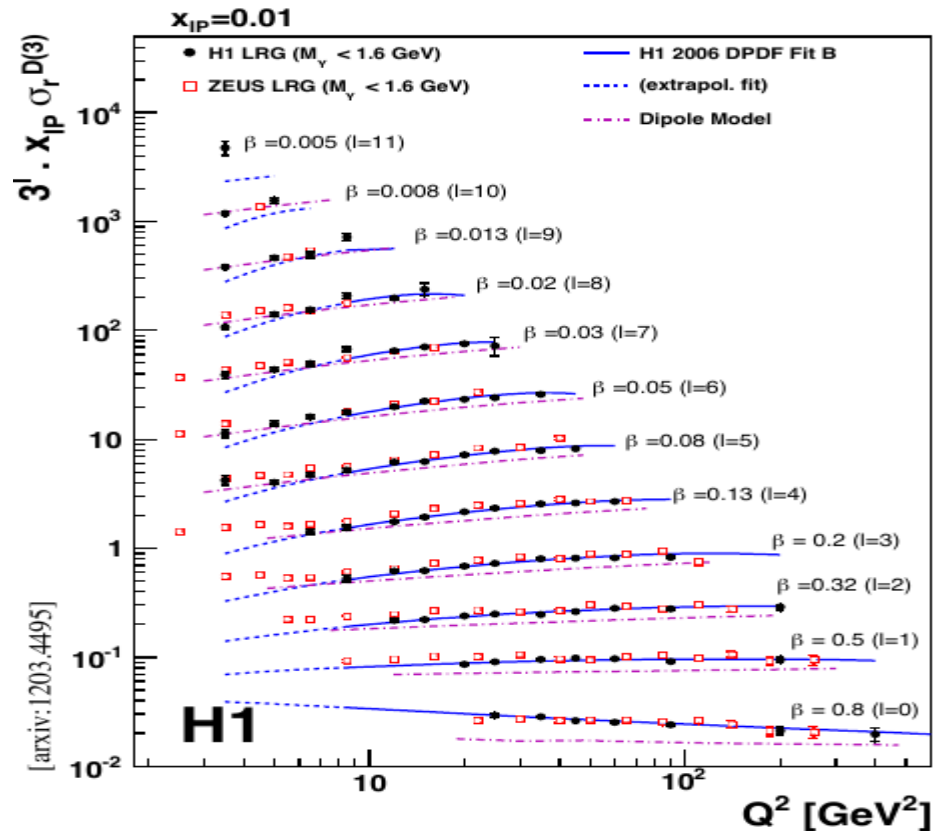
DGLAP  
Evolution  
Equations



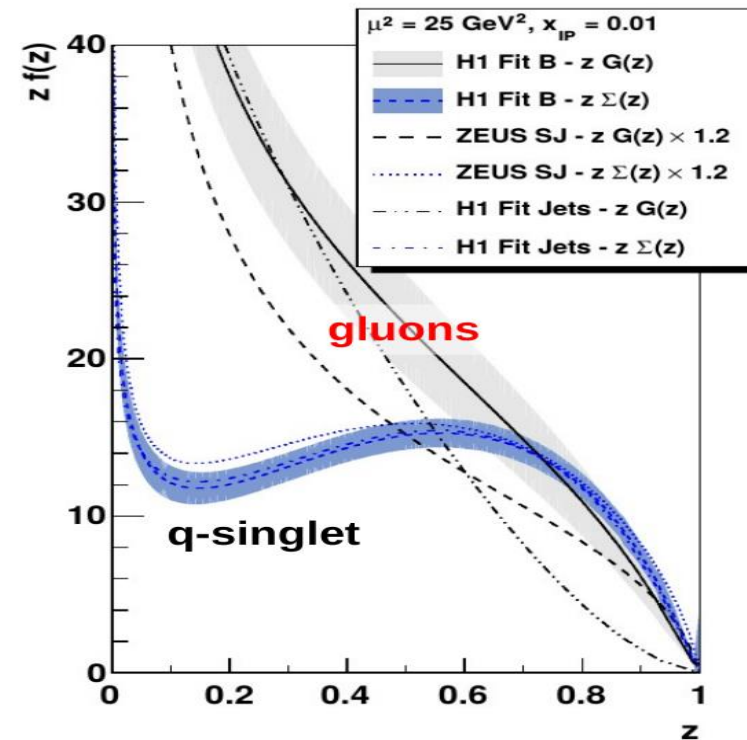
- Gluon dominates the Pomeron structure (60% of the exchanged momentum carried by gluons);
- Gluons weakly constrained in the high  $z$  region;



# Pomeron PDFs

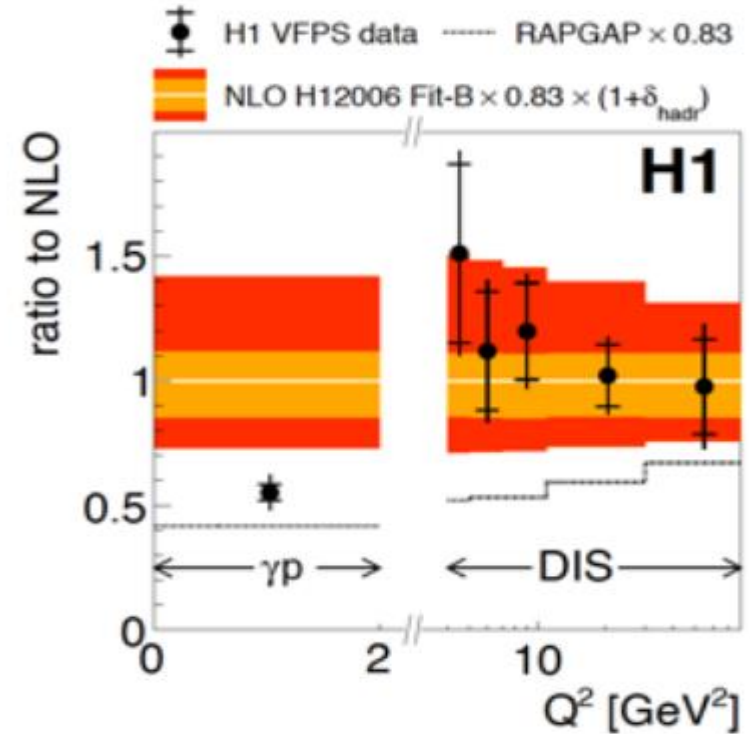
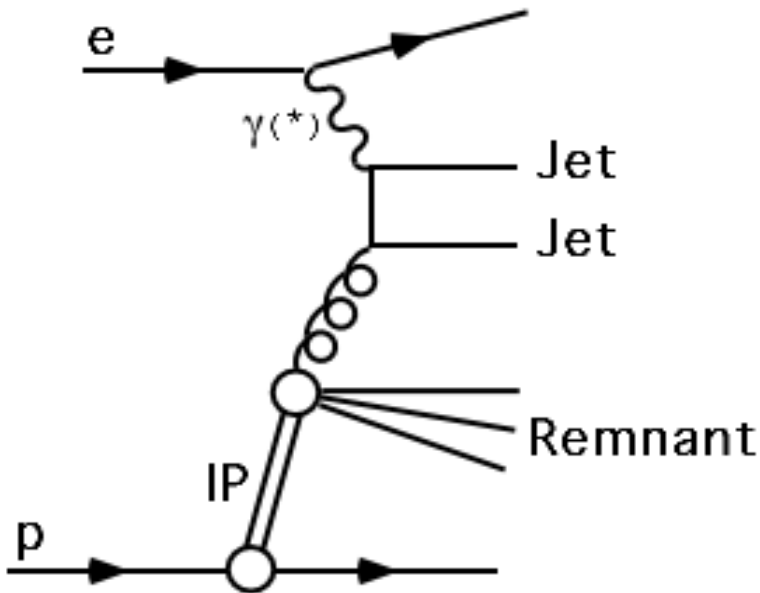


DGLAP  
Evolution  
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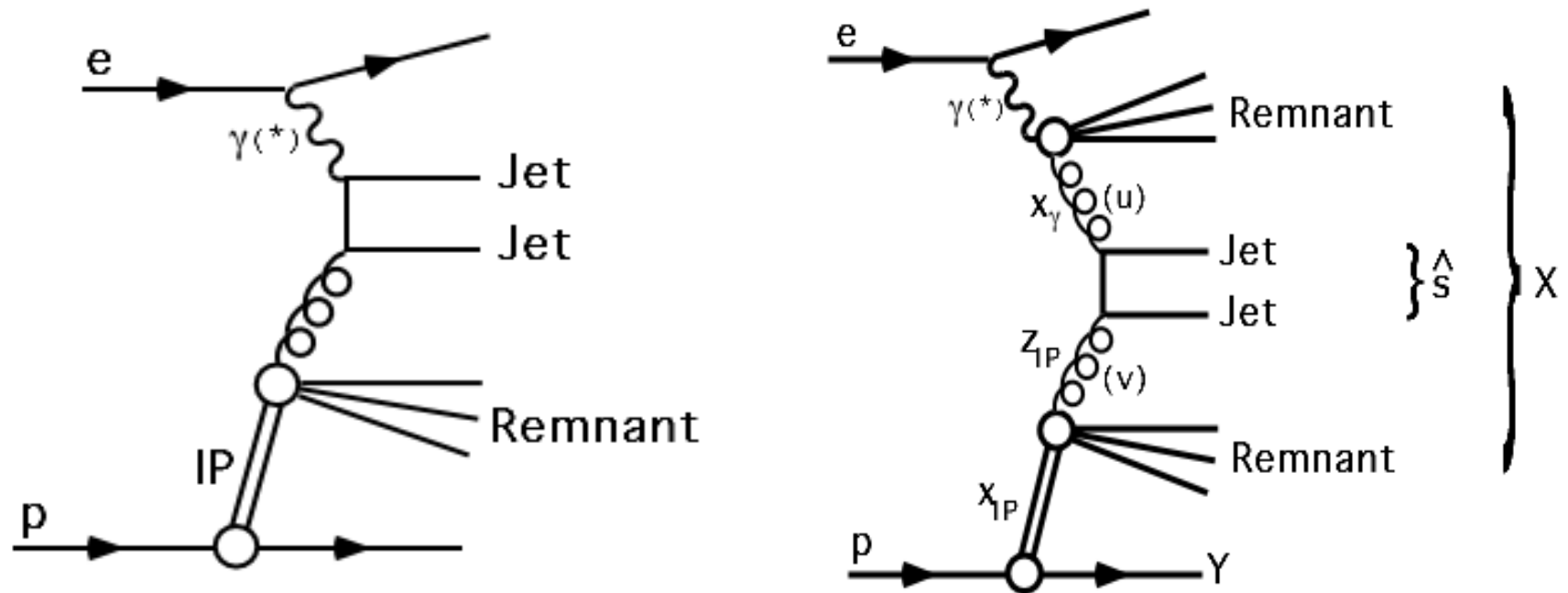
- Gluon dominates the Pomeron structure (60% of the exchanged momentum carried by gluons);
- Gluons weakly constrained in the high  $z$  region;
- **Cross check:** Use the resulting DPDFs as input in the calculations of other diffractive observables measured at HERA and hadronic colliders (Tevatron and LHC)

# Diffractive Di-jet Production at the HERA



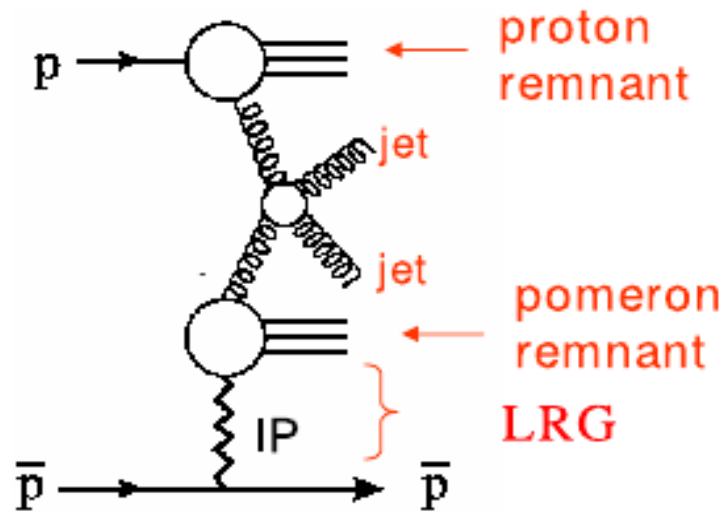
- Diffractive Di-jet production is sensitive to the gluon DPDF;
- Factorization is OK in DIS but not at  $Q^2 = 0$  !

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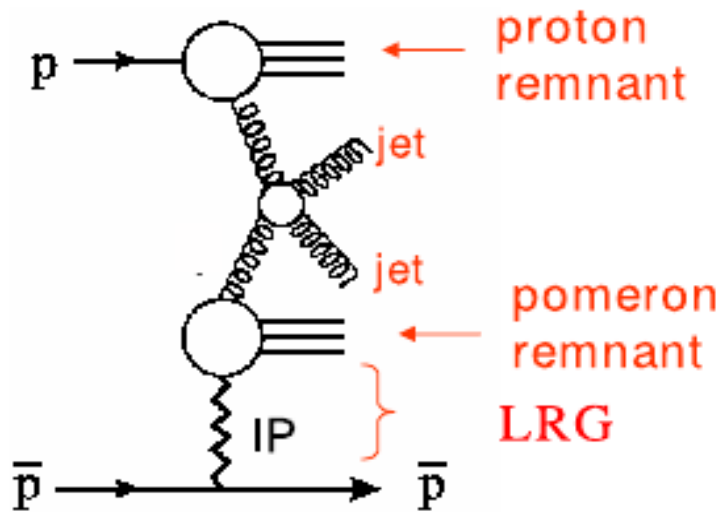
- Diffractive Di-jet production is sensitive to the gluon DPDF;
- Factorization is OK in DIS but not at  $Q^2 = 0$  !
- Contribution associated to the resolved structure of the photon is important at low  $Q^2$ .

# Diffractive Di-jet Production at the Tevatron

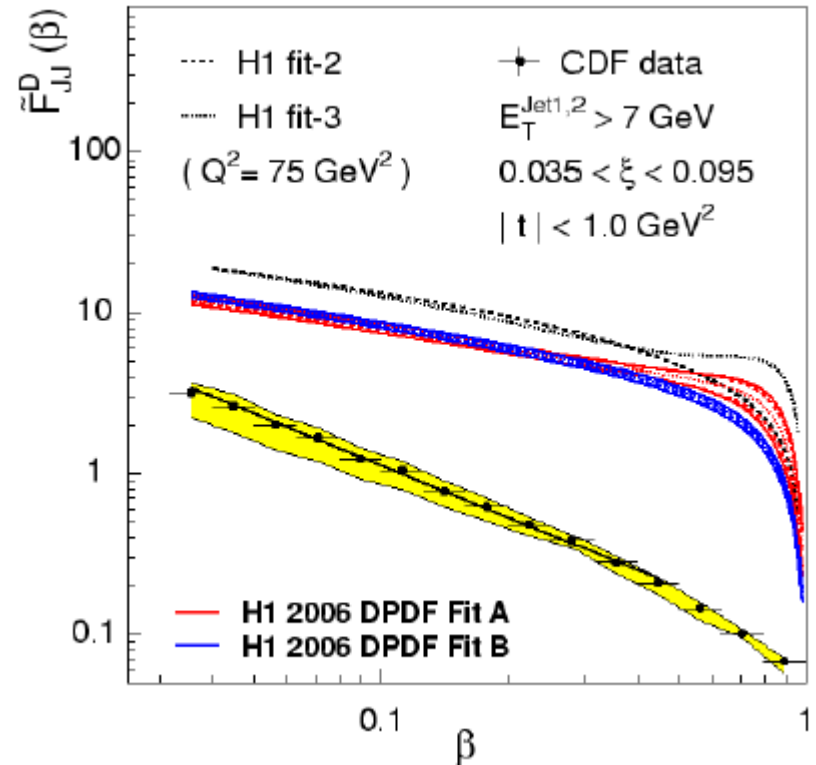


$$\sigma(\bar{p}p \rightarrow \bar{p}X) \approx F_{jj} \otimes F_{jj}^D \otimes \hat{\sigma}(ab \rightarrow jj)$$

# Diffractive Di-jet Production at the Tevatron

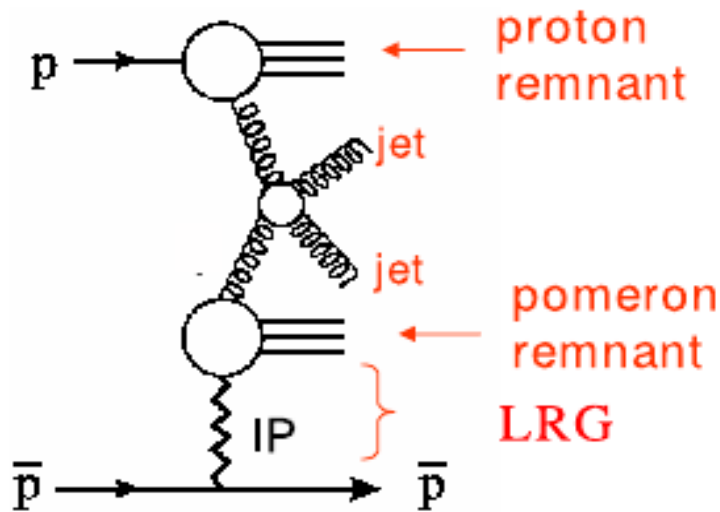


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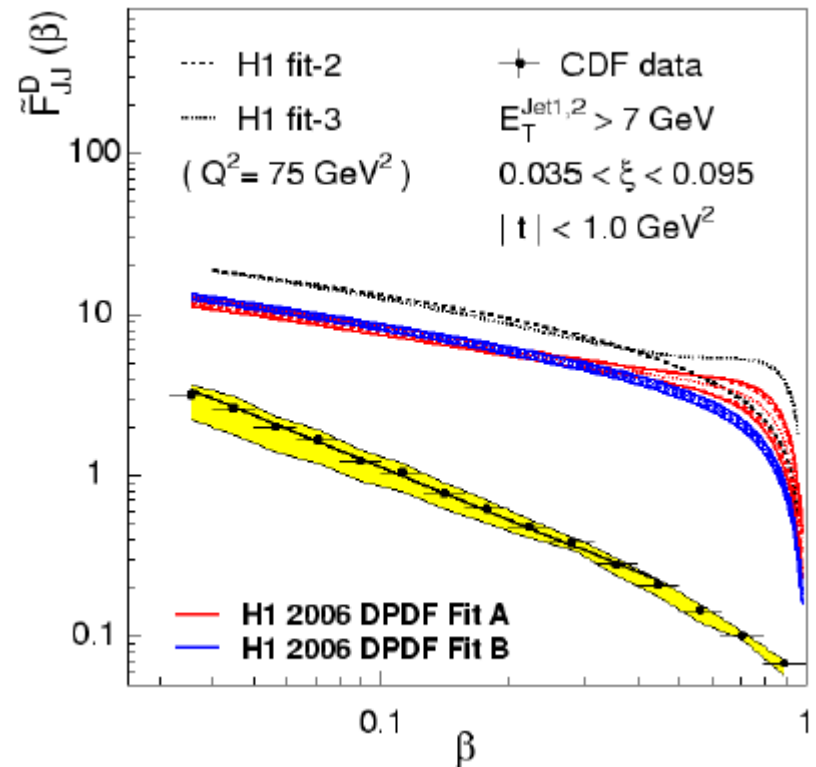


- Predictions obtained using the HERA DPDFs fail by factor 5 - 7;
- **Note:** QCD factorization has not been proven for hadron - hadron collisions.

# Diffractive Di-jet Production at the Tevatron



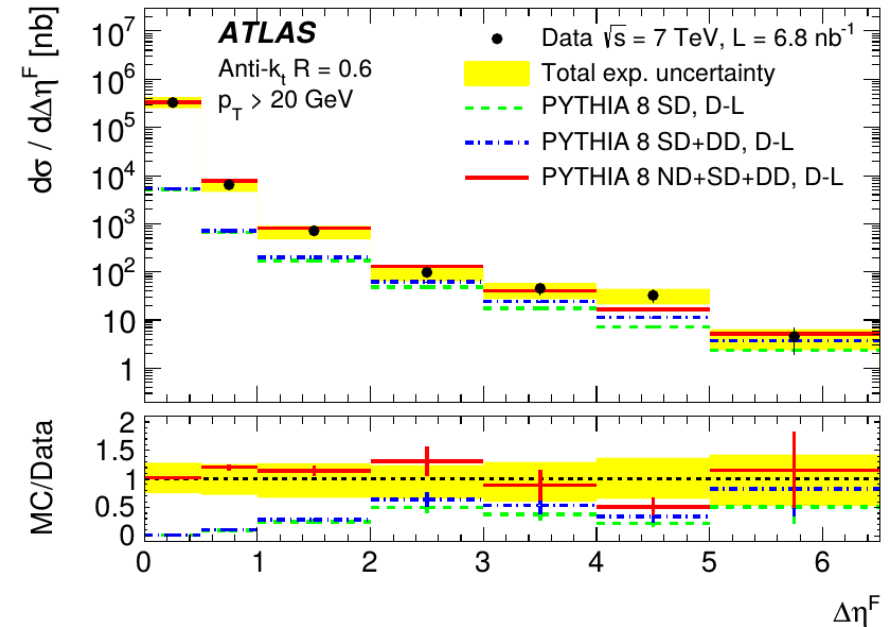
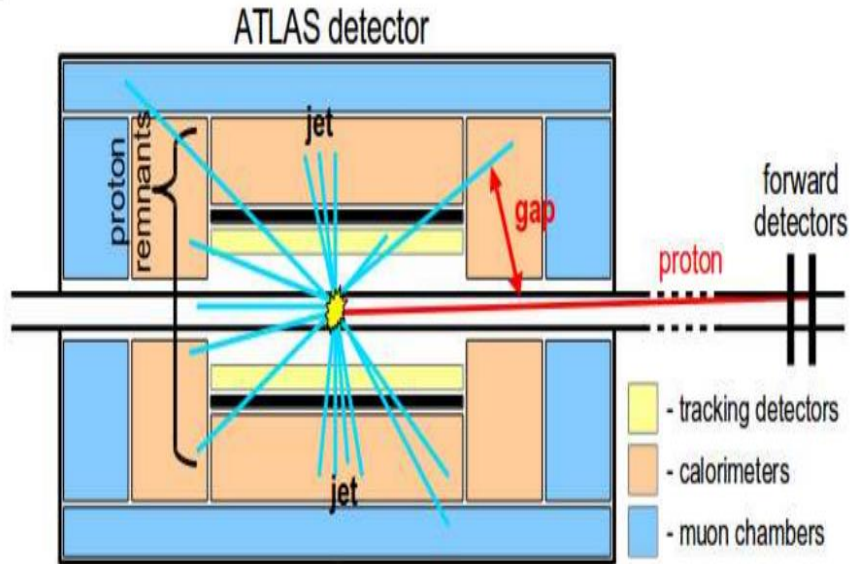
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- Predictions obtained using the HERA DPDFs fail by factor 5 - 7;
- **Note:** QCD factorization has not been proven for hadron - hadron collisions.
- Final state interaction between proton remnant and antiproton possible.  
Gap survival probability is not equal to one !



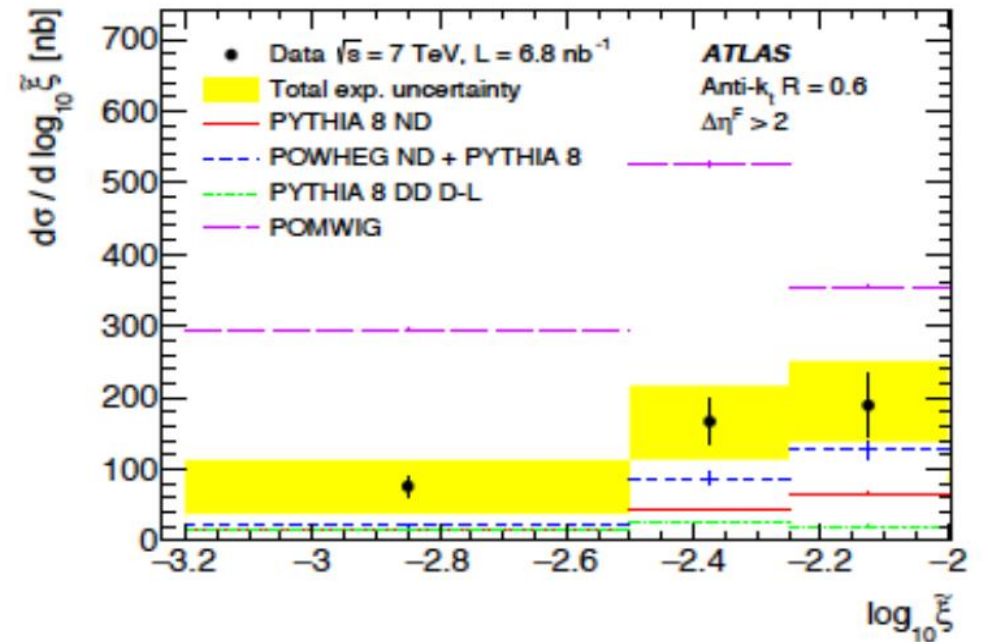
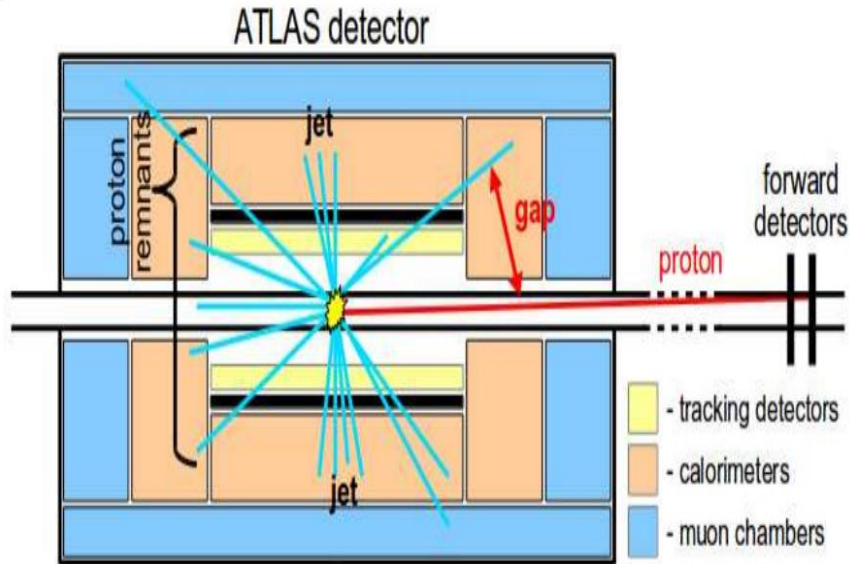
# Diffractive Di-jet Production at the LHC



ATLAS, PLB 754 (2016) 214

- Diffractive component is required for more complete description of data;

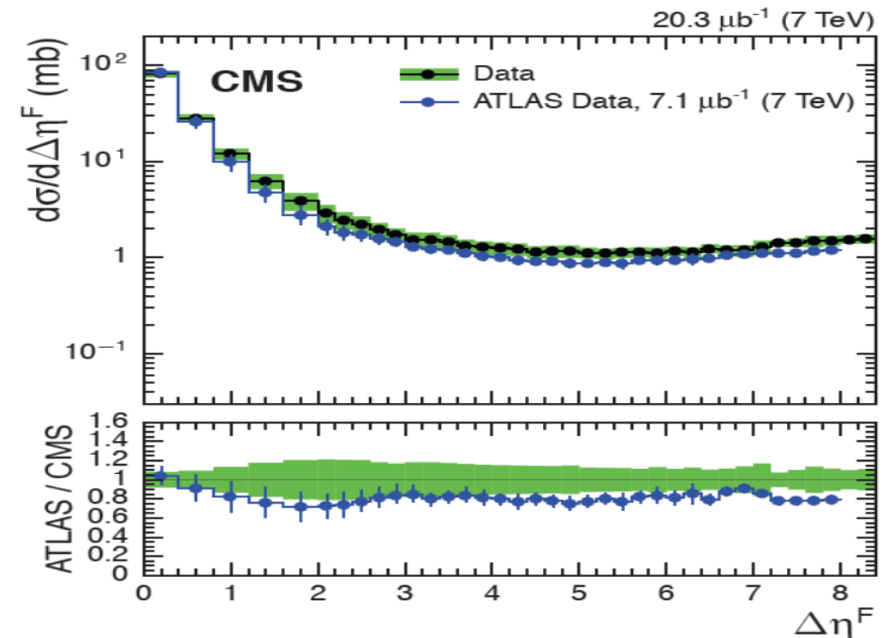
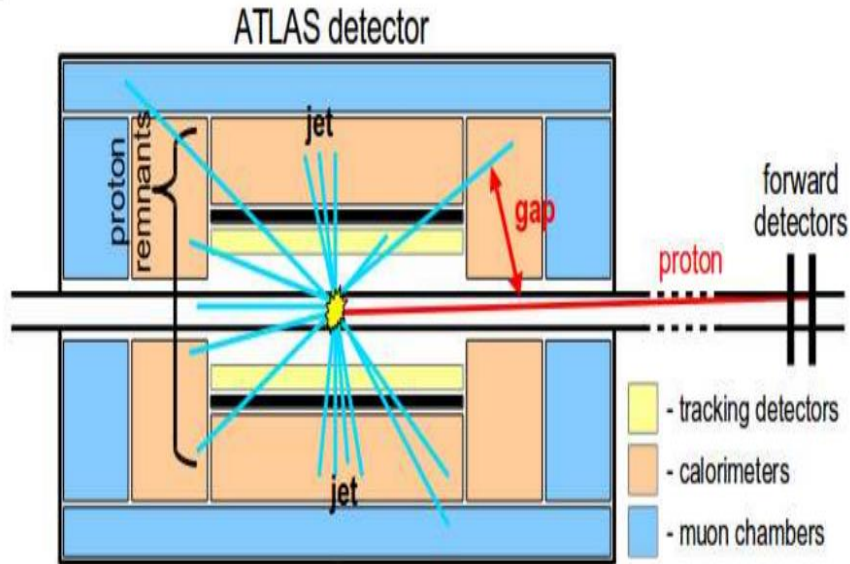
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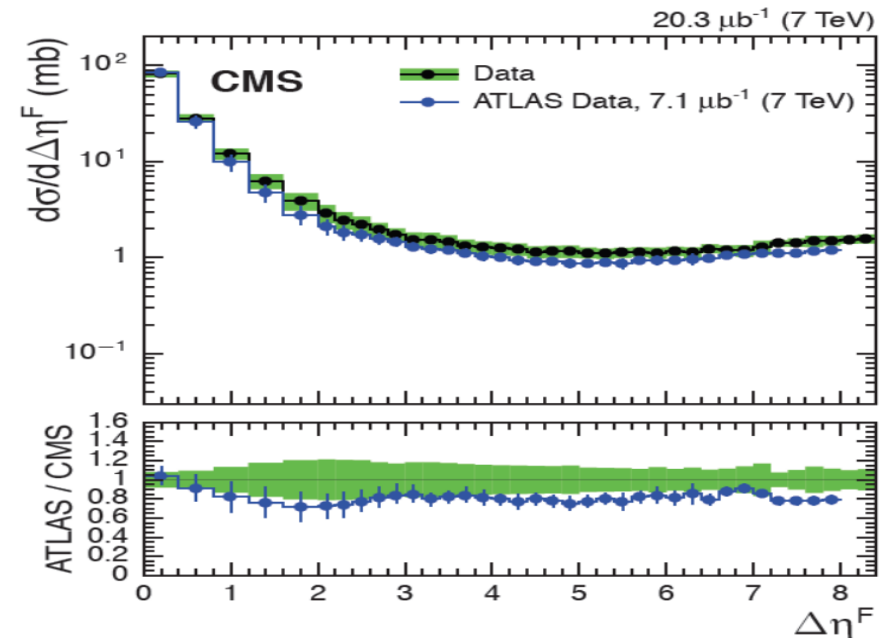
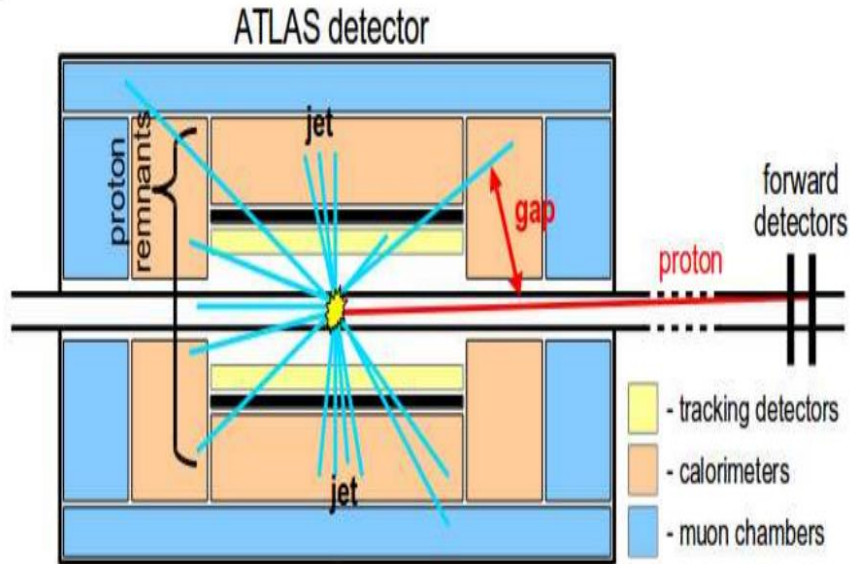
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- Rapidity gap survival factor (Probability of non - emission by other soft processes into gap):  $S^2 = 0.16 \pm 0.04$  (stat)  $\pm 0.08$  (exp. Syst.)

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- Rapidity gap survival factor (Probability of non - emission by other soft processes into gap):  $S^2 = 0.16 \pm 0.04$  (stat)  $\pm 0.08$  (exp. Syst.)
- ❑ The inclusion of  $S^2$  is fundamental to describe the experimental data from hard diffractive processes.
  - ❖ Associated to soft reinteractions -> Nonperturbative physics !
  - ❖ Main theoretical uncertainty in hard diffraction ! Universal? Depends on  $s^{1/2}$ ,  $\eta$  ...?

# Summary

- ❑ Diffraction offer us a unique opportunity to study the hard and soft regimes of QCD and its interplay in unusual settings.
- ❑ Such studies are difficult:
  - ✓ On the experimental side because the complexity of the environment makes it difficult to separate the diffractive events;
  - ✓ On the theoretical side, the subject can become highly technical, involving sophisticated formalisms (e.g. Regge theory  $\times$  QCD at high energies) whose mutual relations are not always visible.
- ❑ However, important experimental and theoretical progress has been achieved in the recent years and much more is expected in the coming years.

# Summary



September 3 2015

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DESY 15-167

## LHC Forward Physics

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**The LHC Forward Physics Working Group**

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Journal of Physics G  
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**Thank you for your attention !**



Extras

# Diffraction in Hadronic Collisions: Definitions

**y** - rapidity

**$\eta$**  - pseudorapidity

$$y = 1/2 \ln \left( \frac{E + p_z}{E - p_z} \right)$$

$$\eta \equiv y \Big|_{m=0} = -\ln \tan(\vartheta/2)$$

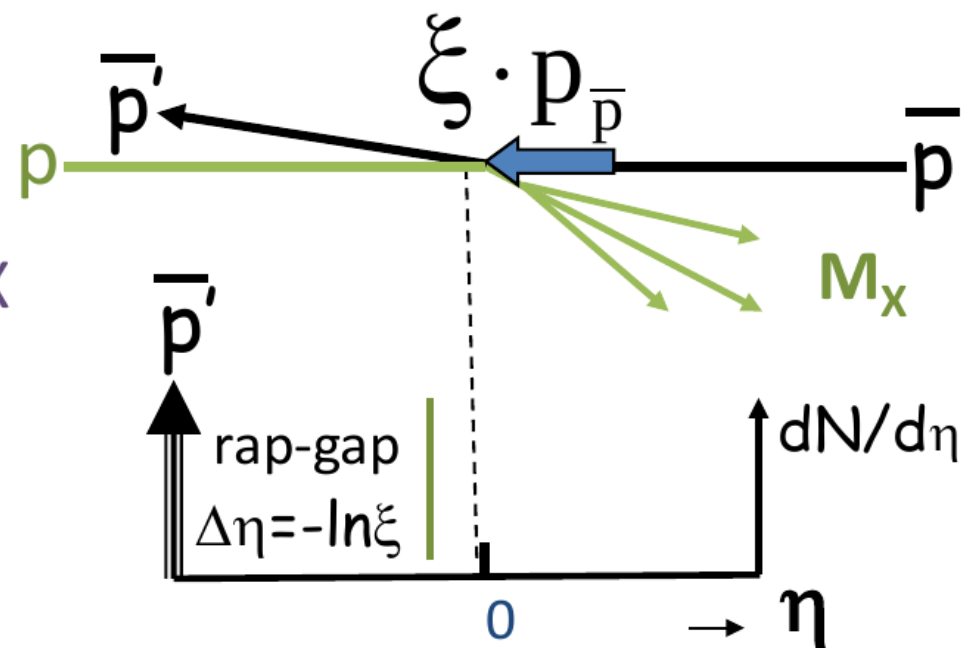
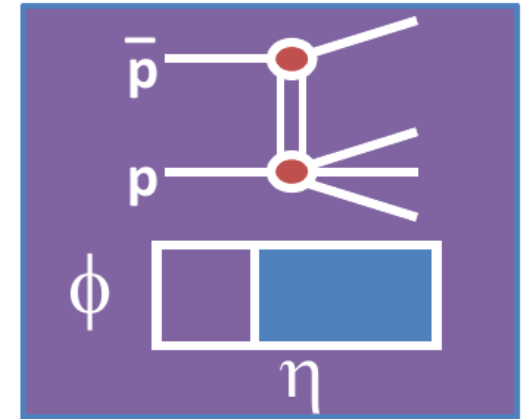
**t** - four-momentum  
transfer squared

**$\xi$**  - fractional momentum loss  
of pbar

**$M_X$**  - mass of diffractive system X

$$\xi = M_X^2 / s$$

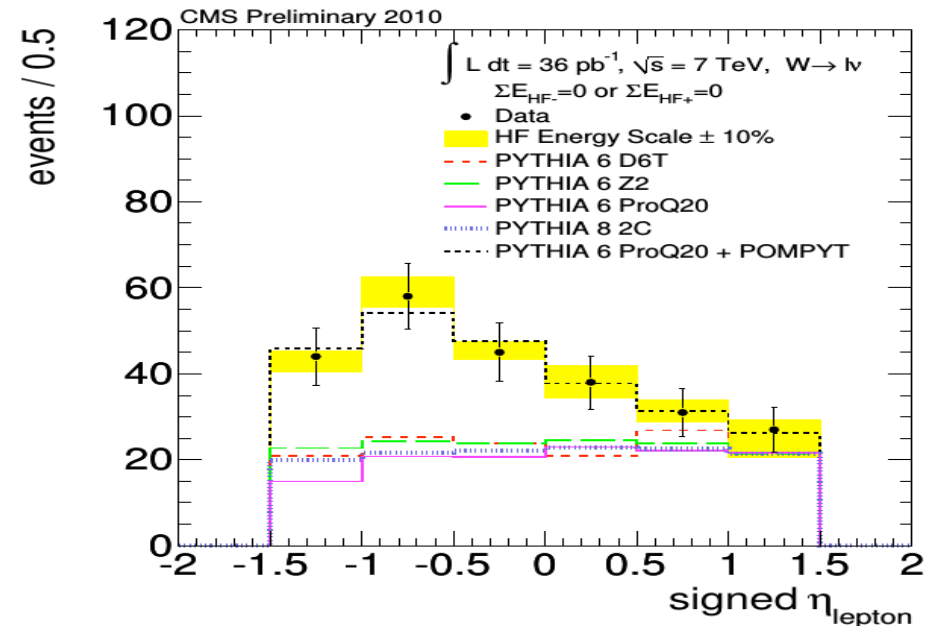
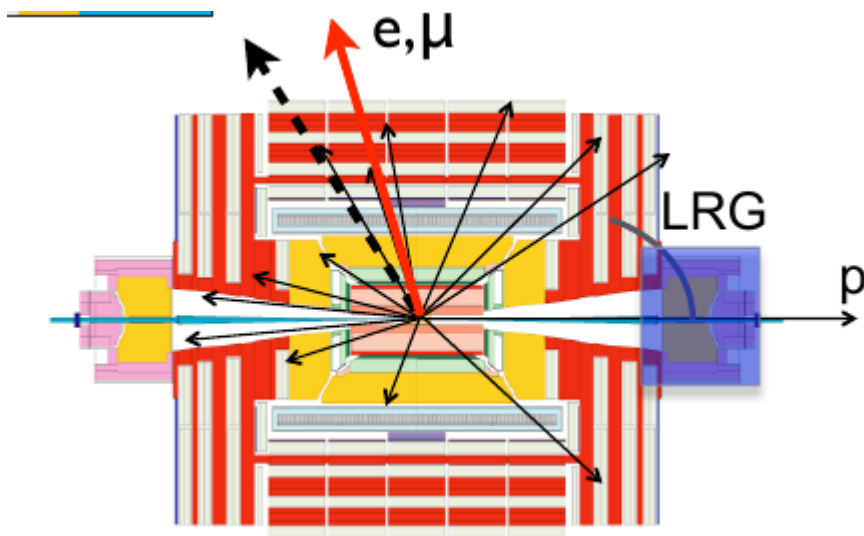
$$\Delta\eta \approx \ln(s / M_X^2)$$



# Hard Diffraction at the LHC

- ❑ Hard processes, calculable in perturbative QCD
- ❑ Measure proton structure, QCD at high parton densities, Discovery physics
- ❑ Some few examples:

## ❖ $W, Z$ production



- Flat for non-diffractive, asymmetric for diffractive events;
- Evidence of diffractive  $W$  production in the data.

# Exclusive Processes at the LHC:

## Exclusive Diffraction and Photon Exchange Processes

□ Typical  $pp$  events:



Many tracks + high  $p_T$  particles

□ Exclusive events:

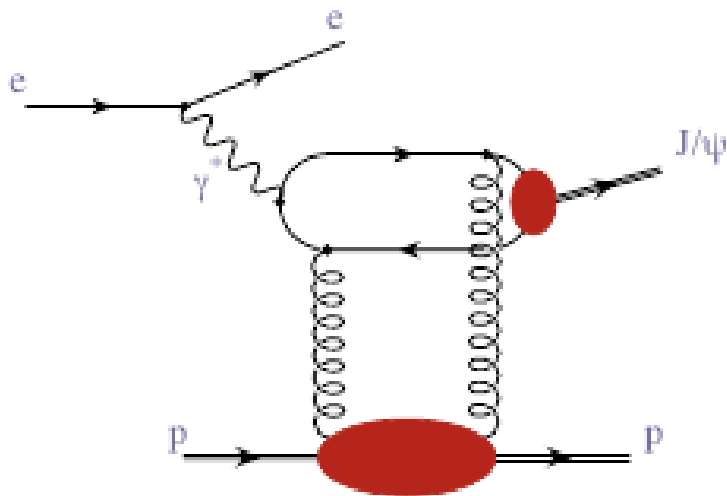


Few tracks + low  $p_T$  particles

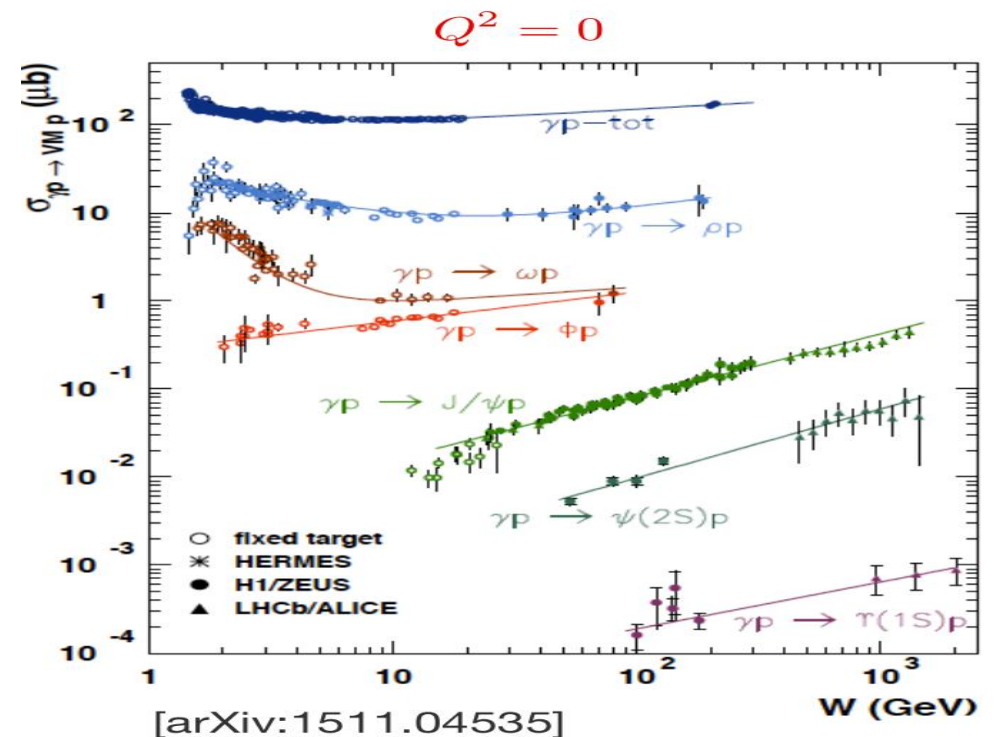
# Photon - Hadron Interactions at the LHC

$$\gamma h \text{ Processes: } \sigma(h_1 h_2 \rightarrow X) = n_h(\omega) \otimes \sigma^{\gamma h \rightarrow X}(W_{\gamma h})$$

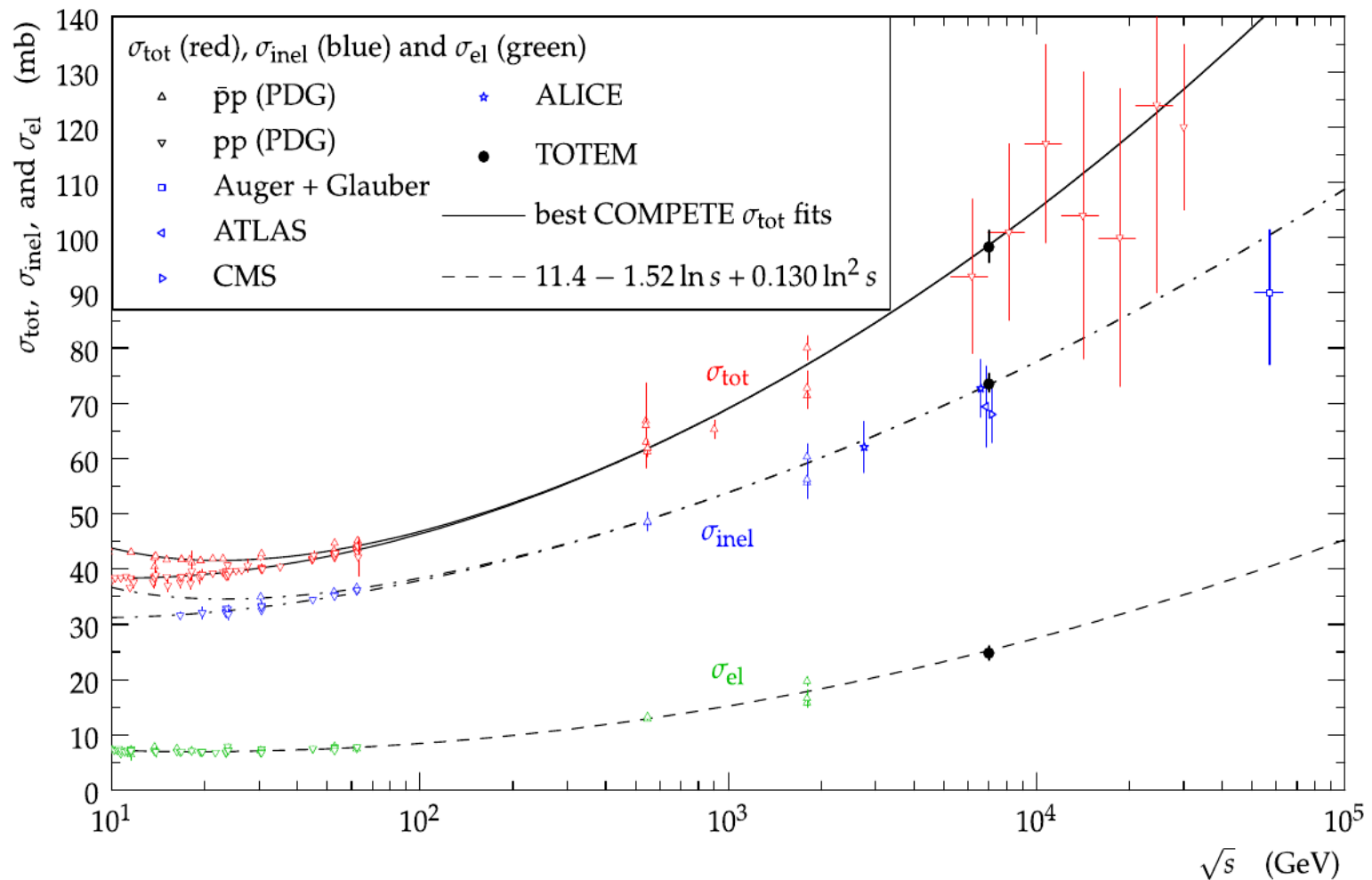
## □ Diffractive vector meson photoproduction at HERA



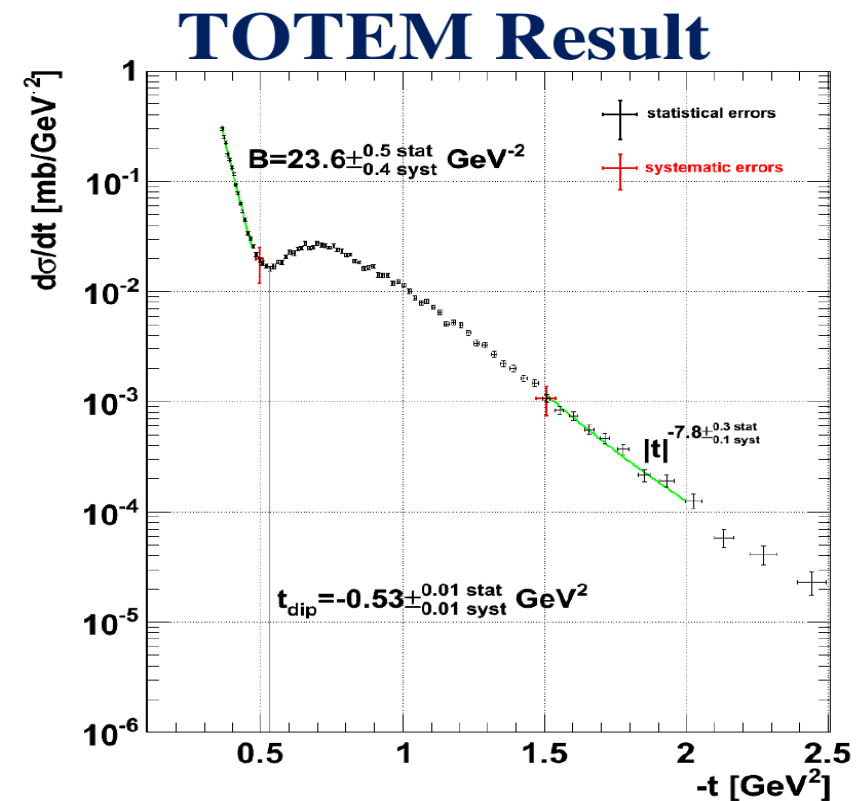
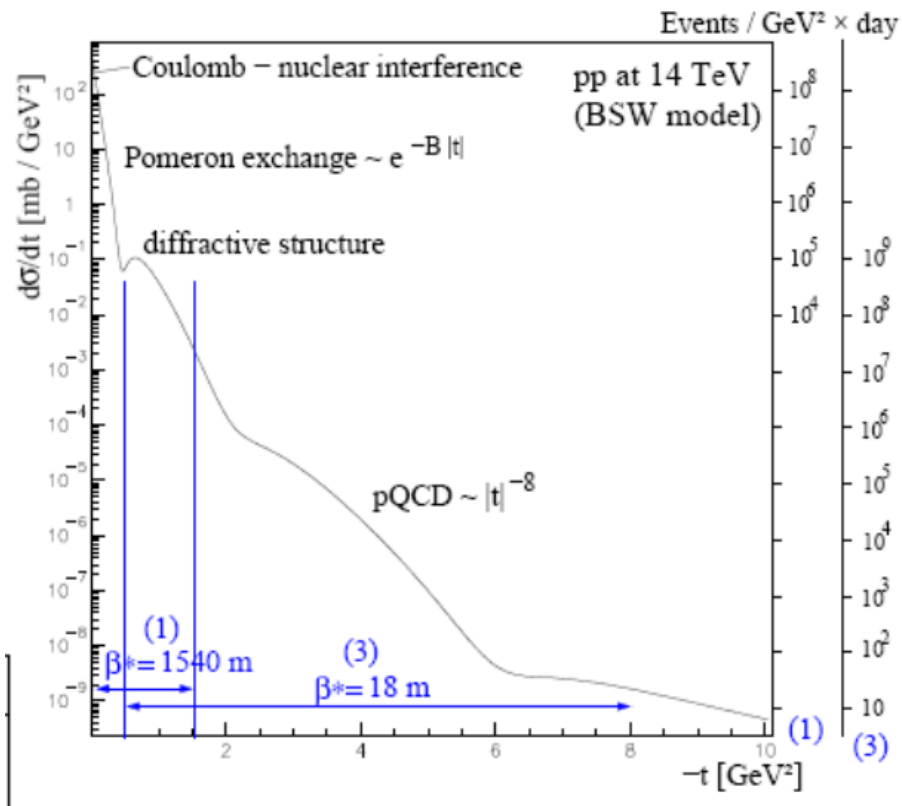
- Transition soft to hard regime with masses of the vector mesons.
- The photoproduction of heavy vector mesons can be calculated using perturbative QCD



# Soft Diffraction: Selected results

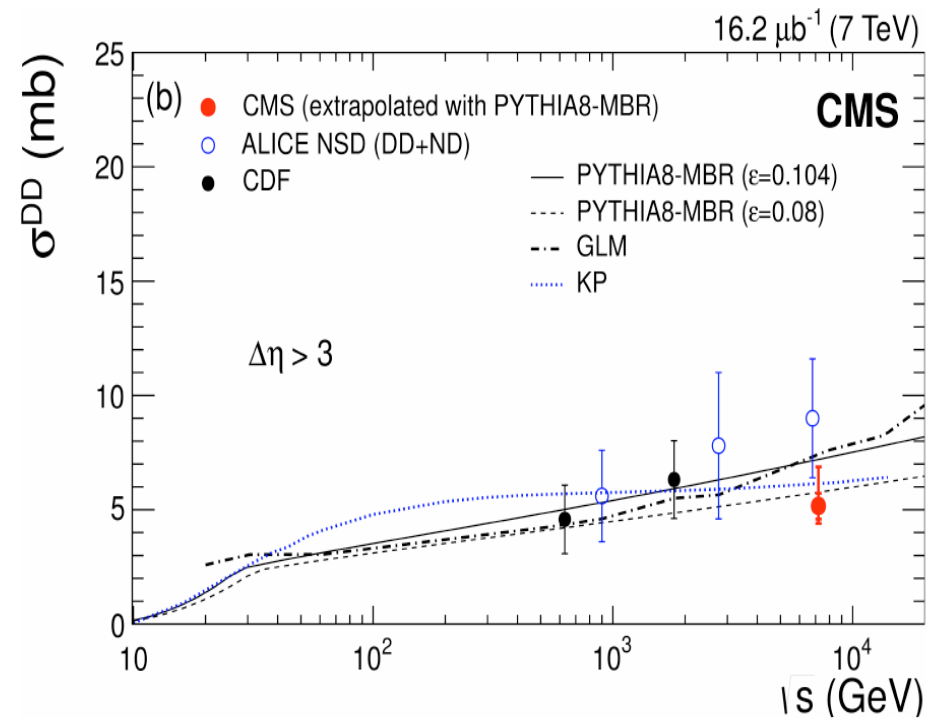
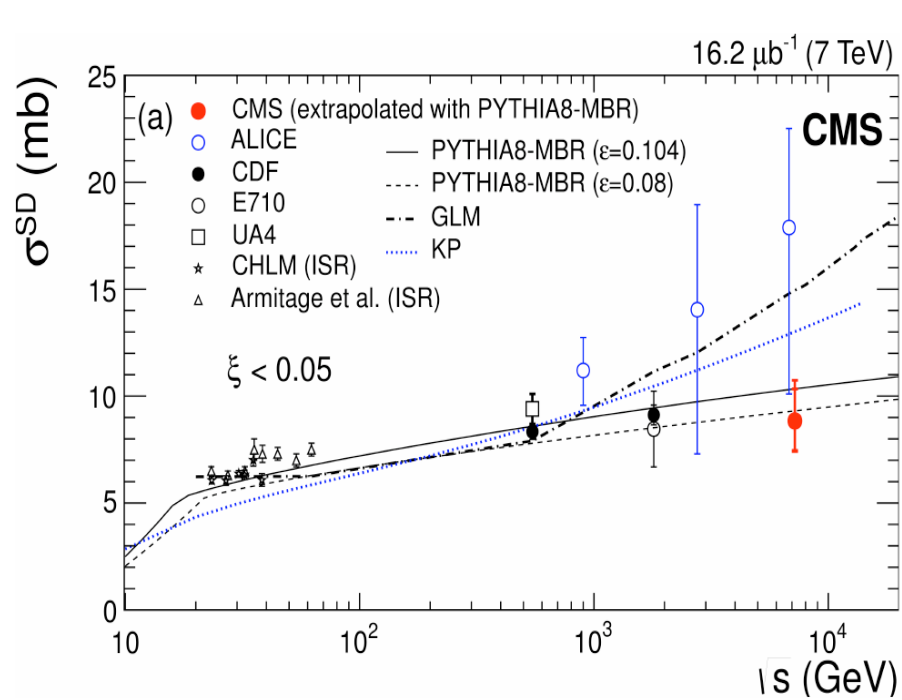


# Soft Diffraction: Selected results





# Soft Diffraction: Selected results

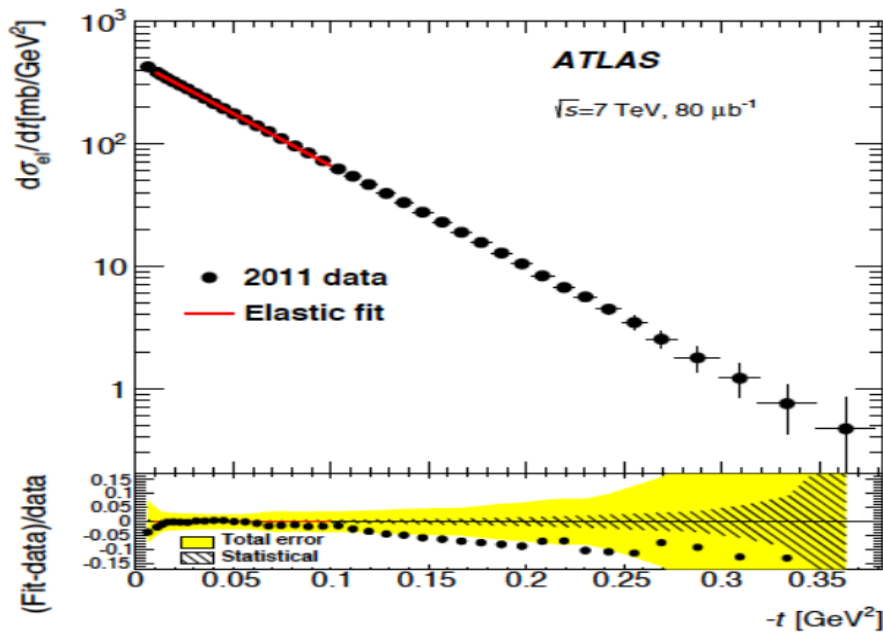




# Soft Diffraction: Selected results

## Motivation: Total and elastic cross sections

Measurements of the elastic cross section and its  $t$ -dependence (eg in ALFA) determine total cross section via optical theorem



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At fixed  $s$ : 
$$\frac{d\sigma}{dt} = \left. \frac{d\sigma}{dt} \right|_{t=0} e^{Bt}$$

$B=19.73 \pm 0.24 \text{ GeV}^{-2}$  (ALFA)

$$\sigma_{TOT}^2 = \frac{16\pi(\hbar c)^2}{1 + \rho^2} \cdot \left. \frac{d\sigma_{EL}}{dt} \right|_{t=0}$$

[ $\rho \sim 0.1$  = phase of Coulomb-nuclear interference at  $t=0$ ]